EXTERNAL ASSURANCE
MISSION STATEMENT

To ensure the fiscal and programmatic integrity of state and federal programs administered by ISBE

Robert Wolfe, Division Administrator
Ethelene Crawford, Acting Division Supervisor
Robert Onyewuchi, Acting Division Supervisor
External Assurance was created in March, 2002 as a result of the Agency’s Reorganization. The primary purpose was to consolidate the fiscal and program compliance monitoring functions into one division so as to redirect the effort of the program staff on Technical Assistance and Evaluation of Program Outcomes.

Responsibilities:

- Audit of State Categorical Programs
- Audit of State and Federal Grants
- Monitoring of NCLB Funds
- Fiscal Monitoring Responsibility for Special Education IDEA Funds
- Monitoring of Perkins Grants
- Monitoring ROE’s and ISC’s
3 Management
24 Field Staff
1 Springfield Based Professional
3 Support
9 Field Staff Vacancies
Monitoring & Programmatic Relationship

Program Staff
- Budget Approval
- Program Updates

External Assurance Staff
- Fiscal Monitoring
- Program/Activity Monitoring
- Findings

Program Staff
- Impact Evaluation
- Technical Assistance

Program Improvement
Title I – Low Income Grant
Delineation of Functions Between Divisions

<table>
<thead>
<tr>
<th>System of Support Division</th>
<th>External Assurance Division</th>
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<tr>
<td>Budget Approval</td>
<td>On-site Monitoring</td>
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<tr>
<td>Dissemination of Literature</td>
<td>Fiscal Issues</td>
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<tr>
<td>Technical Support</td>
<td>Programmatic Issue</td>
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<tr>
<td>• To Grant Recipients</td>
<td>Collection of Data to analyze compliance with maintenance of fiscal effort and comparability requirements</td>
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<tr>
<td>• External Assurance Staff</td>
<td>Dissemination of Information to the field regarding the monitoring function</td>
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<tr>
<td>Follow-up with Findings</td>
<td>Report Generation on Monitoring Results.</td>
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<td>• Identified by External Assurance</td>
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Accomplishments Since Inception

- Development of Regionalized Organization Structure
- Reviewed rules and regulations to determine monitoring requirements
- Development of forms & procedures for conducting monitoring reviews
- Commenced fieldwork monitoring reviews effective March 1, 2003 of the NCLB programs.
- Cross-training of Staff among various programs
- Development of webpage for the Division that serves as one of the media for communicating with districts
- Developed a database audit and monitoring system to capture audit and monitoring data from the beginning phase to the post closing phase. This database system has gone from the test phase and will be in production during our FY 04 Audit Cycle.