

**ISBE USE ONLY** Regular

**ILLINOIS STATE BOARD OF EDUCATION**  
 System of Support and District Intervention Division  
 100 North First Street, N-242  
 Springfield, IL 62777-0001

ATTACHMENT 1

**DUE DATE**  
**Oct.13, 2015**

**FY 2016**  
**SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**DISTRICT APPLICATION COVER PAGE**

**Directions: Submit 1 original application and 1 CD. No faxed copies will be accepted. No electronic submissions will be accepted.**

**APPLICANT INFORMATION**

NAME OF SUPERINTENDENT/AUTHORIZED OFFICIAL		REGION, COUNTY, DISTRICT, TYPE CODE		DISTRICT NCES I.D. #	
TITLE OF AUTHORIZED OFFICIAL		SIG 1003(g) PROGRAM CONTACT			
DISTRICT NAME AND NUMBER		TITLE OF PROGRAM CONTACT			
ADDRESS (Street, City, State, 9 Digit Zip Code)		ADDRESS (Street, City, State, 9 Digit Zip Code)			
TELEPHONE (Include Area Code)	FAX (Include Area Code)	TELEPHONE (Include Area Code)	FAX (Include Area Code)		
E-MAIL SUPERINTENDENT/AUTHORIZED OFFICIAL		E-MAIL SIG 1003(g) PRIMARY CONTACT			

2013 DISTRICT FEDERAL ACADEMIC STATUS

**PRIORITY**

*I certify that the authorized official and program person identified above are authorized to act on behalf of the institution with regard to the School Improvement Grant (SIG) - Section 1003(g).*

\_\_\_\_\_  
Date\_\_\_\_\_  
**Original Signature of Superintendent/Authorized Official**\_\_\_\_\_  
Date\_\_\_\_\_  
**Original Signature of School Board President****ISBE USE ONLY**

Date Received

\_\_\_\_\_  
Date\_\_\_\_\_  
**Original Signature of ISBE Division Administrator,  
System of Support and District Intervention**

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
INTERVENTION MODEL SELECTION FOR PRIORITY SCHOOLS**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339 -16</b>
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SCHOOL NAME	NCES I.D. #	INTERVENTION						LEAD PARTNER		Rural Flexibility	Modified Element
		TRANSFORMATION	TURNAROUND	RESTART	WHOLE-SCHOOL REFORM MODEL	EARLY LEARNING	CLOSURE	Lead Partner Name	ISBE Approval Status		
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**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
INTERVENTION MODEL SELECTION FOR PRIORITY SCHOOLS**

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**FY 2016**  
**SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**PROGRAM-SPECIFIC TERMS OF THE GRANT AND AGREEMENTS FOR PRIORITY SCHOOLS**

The applicant agrees to the following terms of the grant.

**FEDERAL ASSURANCES**

1. Use its School Improvement Grant (SIG) 1003(g) to implement fully and effectively an intervention in each Tier I and Tier II school, or each Priority school, that the LEA commits to serve consistent with the final requirements
2. Establish annual goals for student achievement on the State's assessments in both reading/language arts and mathematics, and measure progress on the leading indicators in Section III of the Final Requirements in order to monitor each Tier I and Tier II school, or Priority school, that it serves with school improvement funds, and establish goals (approved by the SEA) to hold accountable its Tier III schools that receive school improvement funds.
3. If it implements a restart model in a Tier I or Tier II school, or Priority school, include in its contract or agreement terms and provisions to hold the charter operator, charter management organization, or education management organization accountable for complying with the final requirements
4. Monitor and evaluate the actions a school has taken, as outlined in the approved SIG application, to recruit, select, and provide oversight to external providers to ensure their quality.
5. Monitor and evaluate the actions schools have taken, as outlined in the approved SIG application, to sustain the reforms after the funding period ends and that it will provide technical assistance to schools regarding how they can sustain progress in the absence of SIG funding.
6. Report to the SEA the school-level data required under Section III of the Final Requirements.
7. SIG funds cannot supplant non-federal funds or be used to replace existing services.

**STATE ASSURANCES**

1. Implement a new evaluation system for teachers and principals incorporating student growth as a significant factor along with other factors as described in Public Act 097-0008 (105 ILCS 5/24A-7) no later than the start of the 2014-15 school year. The evaluation system should fairly and accurately differentiate teachers, identify and reward effective performance, and identify and address ineffective performance.
2. Establish a five-year budget for each school identified in the application that does not exceed \$2 million per year .
3. Participate in any program-related evaluations or studies required for participation in this grant.
4. Report other program information required by the Illinois State Board of Education (ISBE) or the U. S. Department of Education (ED).
5. Submit quarterly financial expenditure reports as of September 30, December 31, March 31, and June 30 to ISBE within 20 calendar days after the last day of each quarter.
6. Do not subcontract with any entity without prior written approval of the State Superintendent of Education. See item 6 of the "Certifications and Assurances, and Standard Terms of the Grant" for the type of information that must be submitted with the proposal about any proposed subcontracts to be funded with the grant.
7. Contact ISBE for prior approval to discontinue a contract with a Lead Partner or other approved subcontractor.
8. Contact ISBE for approval to add a new or different Lead Partner.
9. Contact ISBE if the principal named in the original application leaves the school and/or district for any reason for pre-approval of the recruitment and selection process for the new principal.

10. Limit administrative costs to five percent of the total budget. Administrative costs include: General Administration - function code 2300, Fiscal Services - function code 2520, and Payments to Other Districts and Governmental Units - function code 4000.
11. LEAs with more than one award may not combine funds into one account. The amount awarded to each school must be spent specifically on implementation of the intervention model in that school
12. SIG funds must be tracked and reported separately from the Title I, Part A funds. Local fiscal agents are to place improvement funds in a Title I account assigned for school improvement. These funding numbers must not be the same as those used for the Title I Basic grant award or SIG. LEAs with more than one award may not combine funds into one account. The amount awarded to each school must be spent specifically on implementation of the intervention model in that school
13. All LEAs and Lead Partners must participate in all evaluation, data collection, and reporting activities conducted by ED and ISBE that include, but are not limited to: participating in on-site reviews conducted by ISBE; participating in designated school improvement activities and technical assistance offered by ISBE; updating annual improvement goals; submitting a revised budget and annual budget summary; submitting quarterly expenditure reports; submitting quarterly progress reports; reporting progress on ED-identified indicators and metrics in the following categories: School Data, Student Outcomes and Academic Progress, Student Culture and Climate, and Teacher Talent.
14. The LEA will contract and work with an external provider, hereto referred to as a Lead Partner organization, which it will screen and select from the Approved Partner list on the ISBE website. If the LEA decides to propose an unapproved Lead Partner, the LEA must submit a request for approval to ISBE prior to the execution of a subcontract funded with SIG funds in which it describes how the LEA recruited, screened, and selected the provider. The proposed Lead Partner is required to submit an application to ISBE and to detail their experiences and record of success in supporting academically underperforming schools.

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*Name of Applicant or Entity*

By: \_\_\_\_\_

<i>Date</i>	<b><i>Original Signature of Authorized Official</i></b>	<i>Title</i>
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## CERTIFICATIONS AND ASSURANCES, AND STANDARD TERMS OF THE GRANT

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*(Insert Applicant's Name Here)*

The applicant/award recipient (hereinafter the term applicant includes award recipient as the context requires), hereby certifies and assures the Illinois State Board of Education that:

1. Applicant is a(n): *(Check one)*

- Individual
         
  Corporation
         
  Partnership
         
  Unincorporated association
         
  Government entity

Social Security Account Number, Federal Employer Identification Number or Region/County/District/School Code, as applicable

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The applicant has the necessary legal authority to apply for and to receive the proposed award. The filing of this application has been authorized by the governing body of the applicant, and the undersigned representative has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application and any award in relation thereto.

### DEFINITIONS

“Applicant” means an individual, entity or entities for which grant funds may be available and has made application to the Illinois State Board of Education for an award of such grant funds.

“Award recipient” means the person, entity or entities that are to receive or have received grant funds through an award from the Illinois State Board of Education. The terms “grantee” and “award recipient” may be used interchangeably.

“Expenditure through dates” are from the project beginning date through September 30, December 31, March 31, and June 30 of each fiscal year and the project ending date.

“Grant” means the award of funds, which are to be expended in accordance with the Grant Agreement for a particular project. The terms “grant,” “award,” and “project” may be used interchangeably.

“Project” means the activities to be performed for which grant funds are being sought by the applicant.

The capitalized word “Term” means the period of time from the project beginning date through the project ending date.

### PROJECT

2. The project proposed in the application, and as negotiated and finalized by the parties in the Grant Agreement, is hereinafter referred to as the “project.” In planning the project there has been, and in establishing and carrying out the project, there will be (to the extent applicable to the project), participation of persons broadly representative of the cultural and educational resources of the area to be served, including persons representative of the interests of potential beneficiaries
3. Applicants may be asked to clarify certain aspects of their proposals/applications prior to final agreement on the terms of the project.
4. All funds provided shall be used solely for the purposes stated in the approved proposal/application.
5. The project will be administered by or under the supervision of the applicant and in accordance with the laws and regulations applicable to the grant. The applicant will be responsible for and obtain all necessary permits, licenses, or consent forms as may be required to implement the project.

### SUBCONTRACTING

6. No subcontracting is allowed under this project, except as set forth in the Grant Agreement. If subcontracting is allowed, then all project responsibilities are to be retained by the applicant to ensure compliance with the terms and conditions of the grant. All subcontracting must be documented and must have the prior written approval of the State Superintendent of Education. Approval of subcontracts shall be subject to the same criteria as are applied to the original proposal/application. The following information is required if any subcontracting is to be utilized:
  - Name(s) and address(es) of subcontractor(s);
  - Need and purpose for subcontracting;
  - Measurable and time specific services to be provided
  - Association costs (i.e., amounts to be paid under subcontracts); and
  - Projected number of participants to be served.

The applicant may not assign, convey or transfer its rights to the grant award without the prior written consent of the Illinois State Board of Education.

## FINANCIAL TERMS

7. Payment under this grant is subject to passage of a sufficient appropriation by the Illinois General Assembly or sufficient appropriation by the U.S. Congress for federal programs. Obligations of the Illinois State Board of Education will cease immediately without further obligation should the agency fail to receive sufficient state, federal, or other funds for this program
8. An applicant must not obligate funds prior to the start date of the project set forth in the final Grant Agreement. The project's start date cannot precede the start of the fiscal year for which the funds are appropriated

All project activities must be completed between the project beginning date and the ending date (the "Term"). Liquidation of all obligations, including the current year's audit fee, should be completed no later than ninety (90) calendar days after the project ending date.

9. The applicant understands that payment for approved services and expenses will be made on a cash needs basis, and that payment will be made in accordance with applicable statutes, regulations, and standards after an application for payment is submitted to the Illinois State Board of Education. Vouchers for payment will be submitted to the Office of the Comptroller according to the payment schedule attached to the final Grant Agreement. The payment schedule shall be based on the projected date of expenditures. Payments will be withheld from scheduled amounts if expenditure reports show excess cash on hand.
10. An approved budget may be amended by completing the Budget Summary form to show the new amounts required and attaching an explanation for the changes. An amendment to the Grant Agreement must be entered into whenever any individual cell changes by more than \$1,000 or 20 percent, whichever is larger. An amendment to the Grant Agreement must also be entered into whenever an award recipient proposes to use funds for allowable expenditures not identified in the currently approved budget, if the scope of the project is expected to change, or if the overall grant award must be increased.
11. Obligation of funds for items or services based on amendments cannot be encumbered prior to the date of receipt at the Illinois State Board of Education of a substantially approvable budget amendment provided the scope/intent of the approved project has not changed. If the scope/intent of a project changes based on an amendment, programmatic approval must be obtained prior to the obligation of funds based on the amendment. The Illinois State Board of Education shall be the final determiner of whether an amendment changes the scope/intent of a project. The begin date of the project cannot precede the beginning of the fiscal year for which the funds are appropriated. Requests for budget amendments must be received by the Illinois State Board of Education no later than thirty (30) calendar days prior to the project ending date for which the amendment is being sought.
12. Funds granted for the operation of this project must be used exclusively for the purposes stated in the approved proposal/application and must be expended in accordance with the approved budget and the award recipient's policies and procedures related to such expenditures. Funds may only be expended for activities occurring during the Term.
  - (a) State funded grants: All grant funds and earned interest shall be subject to the Illinois Grant Funds Recovery Act (30 ILCS 705). Interest earned on State funded grant programs and grant funds not expended or obligated by the end of the Term, as well as interest earned after the Term has expired, must be returned to the Illinois State Board of Education within forty-five (45) calendar days following the end of the Term.
  - (b) Federally funded grants: Interest earned in excess of \$100 per year must be returned to the Illinois State Board of Education, with checks payable to the Illinois State Board of Education.

For-Profit award recipients shall not utilize grant funds in any manner for normal operating expenses or to generate a profit. The applicant certifies that notwithstanding any other provision of the application, proposal, or Grant Agreement, grant funds shall not be used and will not be used to provide religious instruction, conduct worship services, or engage in any form of proselytization.

13. The applicant, in compliance with the provisions of 30 ILCS 105/9.07, will not expend any funds received from the Illinois General Revenue Fund for promotional items including calendars, pens, buttons, pins, magnets, and any other similar promotional items.
14. Financial Reports: Quarterly expenditure reports are required of all award recipients receiving funds, unless otherwise specified in the program specific terms or the request for proposals. Quarterly reports must describe the progress of the project or use and the expenditure of the grant funds. The expenditure through dates to be used in reporting expenditures and obligations are from the project beginning date through September 30, December 31, March 31, and June 30 of each fiscal year and the project ending date. Those entities with established IWAS accounts with the Illinois State Board of Education must electronically submit expenditure reports by the required due dates specified within the Grant Agreement. Those entities not enrolled in IWAS must request paper expenditure report forms not later than twenty (20) calendar days before the due dates specified within the Grant Agreement to the Illinois State Board of Education. Expenditure reports are due twenty (20) calendar days after the expenditure through date. Failure to file the required reports within the timelines will result in a breach of the Grant Agreement. Upon any such breach, the Illinois State Board of Education may, without limitation, withhold the current year's payments and payments for future years' projects under the same program until the reports are properly filed

All grant funds must be spent or obligated, and all activities must be completed prior to the project ending date. Each award recipient must submit a completion report showing the obligations and the expenditures for the project no later than twenty (20) calendar days after the project ending date.

If a completion report was filed through the project ending date and had no outstanding obligations, the completion report will be the award recipient's final expenditure report. Failure to submit this completion/final expenditure report will result in current and subsequent years' project funding being withheld until the report is received. In cases where final expenditures are less than total disbursements, the overpayment must be returned to the Illinois State Board of Education within forty-five (45) calendar days of the project ending date for all state grants or federal grants that do not expressly allow carryover funds. Failure to return the funds will result in a breach of the Grant Agreement. Upon any such breach, the Illinois State Board of Education may, without limitation, withhold current and subsequent years' project funding until the overpayment is returned.

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If a completion report was filed with outstanding obligations, then a final expenditure report showing total project expenditures (with all prior obligations paid) must be submitted no later than ninety (90) calendar days after the project ending date. Failure to submit the final expenditure report will result in current and subsequent years' project funding being withheld until the report is received. In cases where final expenditures are less than total disbursements, the overpayment must be returned to the Illinois State Board of Education within forty-five (45) calendar days of the project ending date for all state grants or federal grants that do not expressly allow carryover funds. Failure to return the funds will result in a breach of the Grant Agreement. Upon any such breach, the Illinois State Board of Education may, without limitation, withhold current and subsequent years' project funding until the overpayment is returned.

15. The award recipient will maintain records on project and fiscal activities related to each award for a period of three (3) years following the project ending date either for a state-funded or federally-funded project. Such records shall include a fiscal accounting for all monies in accordance with generally accepted governmental accounting principles. If there are outstanding audit exceptions, records will be retained on file until such exceptions are closed out to the satisfaction of the Illinois State Board of Education
16. The Illinois State Board of Education and other governmental entities with program monitoring authority shall, during the Term and for a period of three (3) years thereafter (or until no outstanding audit exceptions remain, whichever is later), have the right at any time to conduct on-site or off-site inspections of the award recipient's records and project operations for auditing and monitoring purposes. The award recipient shall, during the Term and for a period of three (3) years thereafter (or until no outstanding audit exceptions remain, whichever is later) and upon the request of the Illinois State Board of Education, provide the Illinois State Board of Education with information and documentation (including books, records, or papers related to the project) regarding the award recipient's progress or performance with respect to the administration and operation of the project.

#### **NO BINDING OBLIGATION**

17. The applicant acknowledges and agrees that the selection of its proposal for funding, or approval to fund an application, shall not be deemed to be a binding obligation of the Illinois State Board of Education until such time as a final Grant Agreement is entered into between the applicant and the Illinois State Board of Education. Prior to the execution of a final Grant Agreement, the Illinois State Board of Education may withdraw its award of funding to the applicant at any time, for any reason.

#### **COPYRIGHT**

18. All rights, including copyright to data, information and/or other materials developed pursuant to an award, are retained by the Illinois State Board of Education, unless otherwise agreed in writing by the Illinois State Board of Education. All such work products produced by the award recipient through work pursuant to the award shall be made available to the Illinois State Board of Education upon request.

#### **DEFAULT AND TERMINATION**

19. The award recipient will be in default of the grant award and the corresponding Grant Agreement if it breaches any representation or warranty made in the Grant Agreement, the Program Specific Terms or in these Certifications and Assurances, and Standard Terms of the Grant, or fails to observe or perform any covenant, agreement, obligation, duty or provision set forth in the Grant Agreement, the Program Specific Terms or in these Certifications and Assurances, and Standard Terms of the Grant. Upon default by the award recipient and written notification by the Illinois State Board of Education, the award recipient will have ten (10) calendar days in which to cure the default to the satisfaction of the Illinois State Board of Education. If the default is not cured to the satisfaction of the Illinois State Board of Education, the Illinois State Board of Education shall thereafter have full right and authority to terminate the Grant Agreement and/or seek such other remedy that may be available at law or in equity. Upon termination of the Grant Agreement, the award recipient will cease all use of grant funds, shall cancel all cancelable obligations relating to the project, and shall return all unexpended grant funds to the Illinois State Board of Education within forty-five (45) calendar days of termination

#### **INDEMNIFICATION**

20. To the fullest extent permitted by law, the award recipient shall indemnify, defend and hold harmless the State of Illinois, the Illinois State Board of Education, and their respective members, officers, agents and employees against all claims, demands, suits, liabilities, injuries (personal or bodily), property damage, causes of action, losses, costs, expenses, damages or penalties, including, without limitation, reasonable defense costs, reasonable legal fees, and the reasonable value of time spent by the Attorney General's Office, arising or resulting from, or occasioned by or in connection with (a) any bodily injury or property damage resulting or arising from any act or omission to act (whether negligent, willful, wrongful, or otherwise) by the award recipient, its subcontractors, subgrantees, volunteers, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable; (b) failure by the award recipient or its subcontractors, subgrantees, or volunteers to comply with any laws applicable to the performance of the grant; (c) any breach of the Grant Agreement, including, without limitation, any representation or warranty provided by the award recipient herein; (d) any infringement of any copyright, trademark, patent, or other intellectual property right; or (e) the alleged unconstitutionality or invalidity of the Grant Agreement. Neither the award recipient nor its employees or subcontractors shall be considered agents or employees of the Illinois State Board of Education or of the State of Illinois.

If the applicant is a government unit only, it is understood and agreed that neither the applicant nor the Illinois State Board of Education shall be liable to each other for any negligent or wrongful acts, either of commission or omission, unless such liability is imposed by law.

## GENERAL CERTIFICATIONS AND ASSURANCES

21. The applicant will obey all applicable state and federal laws, regulations, and executive orders, including without limitation: those regarding the confidentiality of student records, such as the Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. 1232g) and the Illinois School Student Records Act (ISSRA) (105 ILCS 10/1 et seq.); those prohibiting discrimination on the basis of race, color, national origin, sex, age, or handicap, such as Title IX of the Amendments of 1972 (20 U.S.C. 1681 et seq.), the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.), the Age Discrimination in Employment Act of 1967 (29 U.S.C. 621 et seq.), Titles VI and VII of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq., 2000e et seq.), the Public Works Employment Discrimination Act (775 ILCS 10/0.01 et seq.), and the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.); and the Illinois School Code (105 ILCS 5/1-1 et seq.). Further, no award recipient shall deny access to the program funded under the grant to students who lack documentation of their immigration status or legal presence in the United States (Plyler v. Doe, 457 U.S. 202, 102 S.Ct. 2382 (1982)).
22. The applicant is not barred from entering into this contract by Sections 33E-3 and 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 33E-4). Sections 33E-3 and 33E-4 prohibit the receipt of a state contract by a contractor who has been convicted of bid-rigging or bid-rotating.
23. If the applicant is an individual, the applicant is not in default on an educational loan as provided in 5 ILCS 385/3.
24. The applicant is prohibited from receiving a grant award from the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1).
25. The applicant certifies it has informed the State Superintendent of Education in writing if any employee of the applicant was formerly employed by the Illinois State Board of Education and has received an early retirement incentive under 40 ILCS 5/14-108.3 or 40 ILCS 5/16-133.3 (Illinois Pension Code). The applicant acknowledges and agrees that if such early retirement incentive was received, the Grant Agreement is not valid unless the official executing the agreement has made the appropriate filing with the Auditor General prior to execution.
26. The applicant shall notify the State Superintendent of Education if the applicant solicits or intends to solicit for employment any of the Illinois State Board of Education's employees during any part of the application process or during the Term of the Grant Agreement.
27. If applicable, the applicant shall be required to observe and comply with provisions of the Prevailing Wage Act, 820 ILCS 130/1 et seq., which applies to the wages of laborers, mechanics, and other workers employed in any public works.
28. The applicant certifies that it is (a) current as to the filing and payment of any applicable federal, state and/or local taxes; and (b) not delinquent in its payment of moneys owed to any federal, state, or local unit of government.
29. The applicant represents and warrants that all of the certifications and assurances set forth herein and attached hereto are and shall remain true and correct through the Term of the grant. During the Term of the grant, the award recipient shall provide the Illinois State Board of Education with notice of any change in circumstances affecting the certifications and assurances within ten (10) calendar days of the change. Failure to maintain all certifications and assurances or provide the required notice will result in the Illinois State Board of Education withholding future project funding until the award recipient provides documentation evidencing that the award recipient has returned to compliance with this provision, as determined by the Illinois State Board of Education.
30. Any applicant not subject to Section 10-21.9 of the School Code certifies that a fingerprint-based criminal history records check through the Illinois State Police and a check of the Statewide Sex Offender Database will be performed for all its a) employees, b) volunteers, and c) all employees of persons or firms holding contracts with the applicant, who have direct contact with children receiving services under the grant; and such applicant shall not a) employ individuals, b) allow individuals to volunteer, or c) enter into a contract with a person or firm who employs individuals, who will have direct contact with children receiving services under the grant who have been convicted of any offense identified in subsection (c) of Section 10-21.9 of the School Code (105 ILCS 5/10-21.9(c)) or have been found to be the perpetrator of sexual or physical abuse of any minor under 18 years of age pursuant to proceedings under Article II of the Juvenile Court Act of 1987 (705 ILCS 405/2-1 et seq.).
31. Any applicant that does not have a calculated indirect cost rate from the Illinois State Board of Education or does not utilize their restricted indirect cost rate as calculated by the Illinois State Board of Education certifies that it has developed a written Cost Allocation Plan (CAP) that: a) will be utilized in identifying the accumulation and distribution of any allowable administrative costs in the grant program; b) identifies the allocation methods used for distributing the costs among programs; c) requires support through records and documentation showing personnel time and effort information, and formal accounting records according to generally accepted governmental accounting principles; d) requires the propriety of the charges to be substantiated; and e) shall be made available, along with any records or supporting documentation for allowable administrative costs, for review upon the Illinois State Board of Education's request.
32. The applicants participating in a joint application hereby certify that they are individually and jointly responsible to the Illinois State Board of Education and to the administrative and fiscal agent under the grant. An applicant that is a party to the joint application, a legal entity, or a Regional Office of Education may serve as the administrative and/or fiscal agent under the grant.
33. The entity acting as the fiscal agent certifies that it is responsible to the applicant or, in the case of a joint application, to each applicant that is a party to the application; it is the agent designated and responsible for reports and for receiving and administering funds; and it will:
  - (a) Obtain fully executed Certifications and Assurances, and Standard Terms of the Grant forms from each entity or individual participating in the grant and return the forms to the Illinois State Board of Education prior to award of the grant;
  - (b) Maintain separate accounts and ledgers for the project;
  - (c) Provide a proper accounting of all revenue from the Illinois State Board of Education for the project;
  - (d) Properly post all expenditures made on behalf of the project;
  - (e) Be responsible for the accountability, documentation and cash management of the project, the approval and payment of all expenses, obligations, and contracts and hiring of personnel on behalf of the project in accordance with the Grant Agreement;

- (f) Disburse all funds to joint applicants based on information (payment schedules) from joint applicants showing anticipated cash needs in each month of operation (The composite payment schedule submitted to the Illinois State Board of Education should reflect monthly cash needs for the fiscal agent and the joint applicants.
  - (g) Require joint applicants to report expenditures to the fiscal agent based on actual expenditures/obligation data and documentation. Reports submitted to the Illinois State Board of Education should reflect actual expenditure/obligations for the fiscal agent and the data obtained from the joint applicants on actual expenditures/obligations that occur within project beginning and ending dates;
  - (h) Be accountable for interest income earned on excess cash on hand by all parties to the grant and return applicable interest earned on advances to the Illinois State Board of Education;
  - (i) Make financial records available to outside auditors and Illinois State Board of Education personnel, as requested by the Illinois State Board of Education;
  - (j) Have a recovery process in place with all joint applicants for collection of any funds to be returned to the Illinois State Board of Education; and
  - (k) Be responsible for the payment of any funds that are to be returned to the Illinois State Board of Education.
34. The applicant hereby assures that when purchasing core instructional print materials published after July 19, 2006, the applicant will ensure that all such purchases are made from publishers who comply with the requirements of 105 ILCS 5/28-21 which instructs the publisher to send (at no additional cost) to the National Instructional Materials Access Center (NIMAC) electronic files containing the contents of the print instructional materials using the National Instructional Materials Accessibility Standard (NIMAS), on or before delivery of the print instructional materials. This does not preclude the district from purchasing or obtaining accessible materials directly from the publisher. For further information, see Article 28 (105 ILCS 5/28-21) of the <http://www.ilga.gov/legislation/ilcs/ilcs2.asp?ChapterID=62>

**DRUG-FREE WORKPLACE CERTIFICATION**

35. This certification is required by the Drug-Free Workplace Act (30 ILCS 580/1). The Drug-Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug-free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant, and debarment of contracting or grant opportunities with the State of Illinois for at least one (1) year but not more than five (5) years

For the purpose of this certification, “grantee” or “contractor” means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant of \$5,000 or more from the State.

The applicant certifies and agrees that it will provide a drug-free workplace by

- (a) Publishing a statement:
  - (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance, including cannabis, is prohibited in the grantee’s or contractor’s workplace.
  - (2) Specifying the actions that will be taken against employees for violations of such prohibition.
  - (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will
    - (A) Abide by the terms of the statement; and
    - (B) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) calendar days after such conviction.
- (b) Establishing a drug-free awareness program to inform employees about:
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee’s or contractor’s policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon an employee for drug violations.
- (c) Providing a copy of the statement required by subsection (a) to each employee engaged in the performance of the contract or grant and posting the statement in a prominent place in the workplace.
- (d) Notifying the contracting or granting agency within ten (10) calendar days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.
- (e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by, any employee who is so convicted, as required by section 5 of the Drug-Free Workplace Act.
- (f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation are required and indicating that a trained referral team is in place.
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of the Drug-Free Workplace Act.

The undersigned affirms, under penalties of perjury, that he or she is authorized to execute these Certifications and Assurances, and Standard Terms of the Grant on behalf of the applicant. Further, the undersigned certifies under oath that all information in the grant agreement is true and correct to the best of his or her knowledge, information and belief, that grant funds shall be used only for the purposes described in this agreement, and that the award of this grant is conditioned upon this certification

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name of Authorized Official (Type or Print)

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY, AND VOLUNTARY EXCLUSION  
LOWER TIER COVERED TRANSACTIONS**

This certification is required by the regulations implementing Executive Orders 12549 and 12689, Debarment and Suspension, 2 CFR 417 Subpart C Responsibilities of Participants Regarding Transactions. The regulations were published in the May 25, 2010 Federal Register (pages 29183-29189). Copies of the regulations may be obtained by contacting the Illinois State Board of Education.

**BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS BELOW.**

**CERTIFICATION**

The prospective lower tier participant certifies, by submission of this Certification, that

- (1) Neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- (2) It will provide immediate written notice to whom this Certification is submitted if at any time the prospective lower tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances
- (3) It shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated;
- (4) It will include the clause titled *Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions*, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions;
- (5) The certifications herein are a material representation of fact upon which reliance was placed when this transaction was entered into; and
- (6) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this Certification

\_\_\_\_\_  
*Organization Name*

\_\_\_\_\_  
*PR/Award Number or Project Name*

\_\_\_\_\_  
*Name of Authorized Representative*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Original Signature of Authorized Representative*

\_\_\_\_\_  
*Date*

**Instructions for Certification**

- 1. By signing and submitting this Certification, the prospective lower tier participant is providing the certifications set out herein
- 2. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
- 3. Except for transactions authorized under paragraph 3 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
- 4. The terms *covered transaction*, *debarred*, *suspended*, *ineligible*, *lower tier covered transaction*, *participant*, *person*, *primary covered transaction*, *principal*, *proposal*, and *voluntarily excluded*, as used herein, have the meanings set out in the Definition and Coverage sections of the rules implementing Executive Order 12549 and Executive Order 12689. You may contact the person to which this Certification is submitted for assistance in obtaining a copy of those regulations
- 5. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the "GSA Excluded Parties List System" at <http://epls.arnet.gov/>.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

ILLINOIS STATE BOARD OF EDUCATION  
100 North First Street  
Springfield, I 62777-0001

**CERTIFICATE REGARDING LOBBYING**

The undersigned certifies, to the best of his or her knowledge and belief, that

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit ISBE 85-37, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

\_\_\_\_\_  
*Organization Name*

\_\_\_\_\_  
*PR/Award Number or Project Name*

\_\_\_\_\_  
*Name of Authorized Representative*

\_\_\_\_\_  
*Title*

\_\_\_\_\_  
*Original Signature of Authorized Representative*

\_\_\_\_\_  
*Date*

**DISCLOSURE OF LOBBYING ACTIVITIES**

**Directions:** Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. (See reverse for public burden disclosure.)

1. TYPE OF FEDERAL ACTION

a. Contract     b. Grant     c. Cooperative agreement     d. Loan     e. Loan guarantee     f. Loan insurance

2. STATUS OF FEDERAL ACTION

a. Bid/offer/application     b. Initial award     c. Post-award

3. REPORT TYPE

a. Initial filin     b. Material change     For material change only: \_\_\_\_\_ Year \_\_\_\_\_ Quarter \_\_\_\_\_ Date of last report

4. NAME AND ADDRESS OF REPORTING ENTITY

Prime     Subawardee, Tier \_\_\_\_\_, if known \_\_\_\_\_ Congressional District, if known

5. IF REPORTING ENTITY IN NO. 4 IS SUBAWARDEE, ENTER NAME AND ADDRESS OF PRIME

\_\_\_\_\_ Congressional District, if known

6. FEDERAL DEPARTMENT/AGENCY

7. FEDERAL PROGRAM NAME/DESCRIPTION

\_\_\_\_\_ CFDA Number, if applicable

8. FEDERAL ACTION NUMBER, if known

9. AWARD AMOUNT, if known

\$ \_\_\_\_\_

10a. NAME AND ADDRESS OF LOBBYING ENTITY  
(If individual, last name, first name, MI)

b. INDIVIDUALS PERFORMING SERVICES  
(Including address if different from No. 10a) (last name, first name, MI)

**(Attach Continuation Sheet(s) ISBE 85-37A, if necessary)**

11. AMOUNT OF PAYMENT (check all that apply)

\$ \_\_\_\_\_     Actual     Planned

12. FORM OF PAYMENT (check all that apply)

a. Cash     b. In-kind; specify: nature \_\_\_\_\_ value \_\_\_\_\_

13. TYPE OF PAYMENT (check all that apply)

a. Retainer     b. One-time fee     c. Commission  
 d. Contingent fee     e. Deferred     f. Other, specify \_\_\_\_\_

14. Brief description of services performed or to be performed and date(s) of service, including officer(s), employee(s), or member(s) contacted, fo payment indicated in item 11.

15.  YES     NO    CONTINUATION SHEET(S), ISBE 85-37A ATTACHED

16. Information requested through this form is authorized by title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

ORIGINAL SIGNATURE

PRINT NAME OR TYPE

TITLE

TELEPHONE NUMBER

DATE

## INSTRUCTIONS FOR COMPLETION OF ISBE 85-37, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the ISBE 85-37A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001".
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial(MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not an ISBE 85-37A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

*Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.*

**ILLINOIS STATE BOARD OF EDUCATION**  
100 North First Street  
Springfield, Illinois 62777-000

**CONTINUATION SHEET**  
**DISCLOSURE OF LOBBYING ACTIVITIES**

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REPORTING ENTITY

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**NOTICE TO ALL APPLICANTS REGARDING**

**SECTION 427 OF THE GENERAL EDUCATION PROVISIONS ACT (GEPA)**

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DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE
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Section 427 of GEPA affects all school districts submitting proposals under this program. This section requires each applicant to include in its proposal a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally assisted program for students, teachers and other program beneficiaries with special needs

This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. The applicant should determine whether these or other barriers may prevent students, teachers, etc., from such access to, or participation in, the federally funded project or activity. The description of steps to be taken to overcome these barriers need not be lengthy; the school district may provide a clear and succinct description of how it plans to address those barriers that are applicable to its circumstances. In addition, the information may be provided in a single narration, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of the civil rights statutes, but rather to ensure that, in designing their programs, applicants for federal funds address equity concerns that may affect the ability of certain beneficiaries to fully participate in the program and to achieve high standards. Consistent with **SCHOOL IMPROVEMENT GRANT (SIG) – SECTION 1003(g)** requirements and its approved proposal, an applicant may use the federal funds awarded to it to eliminate barriers it identifies

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**Describe the steps that will be taken to overcome barriers to equitable program participation of students, teachers, and other beneficiaries with special needs:**

**ILLINOIS STATE BOARD OF EDUCATION**  
 System of Support and District Intervention Division  
 100 North First Street, N-242  
 Springfield, Illinois 62777-000

**FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT (FFATA)**

DISTRICT NAME AND NUMBER/AGENCY/INSTITUTION NAME			REGION, COUNTY, DISTRICT, TYPE CODE
FISCAL YEAR <b>16</b>	SOURCE OF FUNDS CODE <b>4339-16</b>	DUNS (9 digit number)**	PROGRAM NAME

The Federal Funding Accountability and Transparency Act (P.L. 109-282, as amended by section 6202(a) of P.L. 110-252) requires a Prime Awardee, such as a State agency, to report an award of \$25,000 or more made to a subrecipient as of October 1, 2010.

To fulfill reporting requirements, provide a brief but succinct description of how the funding you receive will support your activities and actions to meet the purpose and goals of your Federal grant. If there are multiple funding actions, please provide a description for each funding action.

Example of project description: Funds will be used for professional development to train teachers in the use of technology to improve instruction and make Adequate Yearly Progress. In addition, funds will be used to recruit and retain highly-qualified teachers

**Project Description\*:** (255 maximum characters used)

**Agency's Annual Gross Revenues\*:**

Yes  No In the previous fiscal year, did your organization (including parent organizations, all branches, and all affiliates worldwide) receive (1) 80 percent or more of your annual gross revenues in U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements; **AND** (2) \$25,000,000 or more in annual gross revenues from U.S. federal contracts, subcontracts, loans, grants, subgrants, and/or cooperative agreements? Please select the Yes check box only if both (1) and (2) are answered affirmatively .

If yes, please provide the names and the total compensation package (using the preceding fiscal year's compensations of the top 5 highest paid individuals within your organization, regardless of the funding source\*).

NAME	TOTAL COMPENSATION
1.	
2.	
3.	
4.	
5.	

\* Required Field      \*\* If you do not have a DUNS number, please contact Dun & Bradstreet at [fedgov.dnb.com/webform](http://fedgov.dnb.com/webform)

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
ANNUAL IMPROVEMENT GOALS AND OBJECTIVES**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339 -16</b>
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**Directions - Annual Improvement Goals:** The LEA must provide for each year of full implementation, as well as the sustainability year (year 5) the grant (FYs 2017-2020) the annual improvement goals for improving student achievement in the identified schools on the applicable state assessment in both reading/language arts and mathematics. The LEA SIG goals and objectives must be specific, measurable, attainable, relevant, and time bound (S.M.A.R.T.).

**Example:**

Goal: The percentage of ALL students in the Meets/Exceeds Category will increase to \_\_\_\_\_ on the 2017 PARCC.

SIG 1003(g) Goal # _____:
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**Directions - Objectives:** The LEA must provide for each year of the grant, objectives that align with the cited annual improvement goal. (Column 1) The SIG objectives should incorporate the intervention model improvement efforts, (Column 2) identify measurable outcomes that align with the selected interventions, (Column 3) provide the evidence of improvement or progress that will facilitate monitoring by the district and schools, (Column 4) identify a target date for completion, and (Column 5) identify the responsible individuals or entity charged with monitoring and ensuring the goal and identified objectives are implemented and completed. *See Objective example below.*

Objective (1)	Measurable Outcome(s) (2)	Evidence of Improvement or Progress (3)	Target Date for Completion (4)	Responsible Entity (5)
(Example) All students will receive a minimum of 60 minutes per day of reading/language arts instruction that is rigorous, aligned to state standards, and differentiated to ensure the growth of the individual student.	(Example) <ul style="list-style-type: none"> <li>100% students will show growth between the Beginning, Middle, and End of Year formative reading assessments.</li> <li>The number of students performing at or above grade level by the end of the year will increase by 20%.</li> <li>100% of weekly lesson plans reviewed will be aligned to key standards, and identify time for differentiated instruction.</li> </ul>	(Example) <ul style="list-style-type: none"> <li>Walkthrough data will verify that the minimum number of minutes of instruction is occurring.</li> <li>Content classes will incorporate reading/language arts standards and activities in their classes.</li> <li>The quality of lesson plans being reviewed will improve and will incorporate effective instructional strategies presented through the job-embedded professional development.</li> </ul>	(Example) Beginning/Middle/End of Year	(Example) <ul style="list-style-type: none"> <li>Teachers</li> <li>School Administrators</li> <li>Lead Partner</li> </ul>
Objective # _____				
Objective # _____				
Objective # _____				

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
ANNUAL IMPROVEMENT GOALS AND OBJECTIVES**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339 -16</b>
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**Directions - Annual Improvement Goals:** The LEA must provide for each year of full implementation, as well as the sustainability year (year 5) the grant (FYs 2017-2020) the annual improvement goals for improving student achievement in the identified schools on the applicable state assessment in both reading/language arts and mathematics. The LEA SIG goals and objectives must be specific, measurable, attainable, relevant, and time bound (S.M.A.R.T.).

**Example:**

Goal: The percentage of ALL students in the Meets/Exceeds Category will increase to \_\_\_\_\_ on the 2017 PARCC.

SIG 1003(g) Goal # \_\_\_\_\_:

**Directions - Objectives:** The LEA must provide for each year of the grant, objectives that align with the cited annual improvement goal. (Column 1) The SIG objectives should incorporate the intervention model improvement efforts, (Column 2) identify measurable outcomes that align with the selected interventions, (Column 3) provide the evidence of improvement or progress that will facilitate monitoring by the district and schools, (Column 4) identify a target date for completion, and (Column 5) identify the responsible individuals or entity charged with monitoring and ensuring the goal and identified objectives are implemented and completed. *See Objective example below.*

Objective (1)	Measurable Outcome(s) (2)	Evidence of Improvement or Progress (3)	Target Date for Completion (4)	Responsible Entity (5)
(Example) All students will receive a minimum of 60 minutes per day of reading/language arts instruction that is rigorous, aligned to state standards, and differentiated to ensure the growth of the individual student.	(Example) <ul style="list-style-type: none"> <li>100% students will show growth between the Beginning, Middle, and End of Year formative reading assessments.</li> <li>The number of students performing at or above grade level by the end of the year will increase by 20%.</li> <li>100% of weekly lesson plans reviewed will be aligned to key standards, and identify time for differentiated instruction.</li> </ul>	(Example) <ul style="list-style-type: none"> <li>Walkthrough data will verify that the minimum number of minutes of instruction is occurring.</li> <li>Content classes will incorporate reading/language arts standards and activities in their classes.</li> <li>The quality of lesson plans being reviewed will improve and will incorporate effective instructional strategies presented through the job-embedded professional development.</li> </ul>	(Example) Beginning/Middle/End of Year	(Example) <ul style="list-style-type: none"> <li>Teachers</li> <li>School Administrators</li> <li>Lead Partner</li> </ul>
Objective # _____				
Objective # _____				
Objective # _____				

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
ANNUAL IMPROVEMENT GOALS AND OBJECTIVES**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339 -16</b>
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**Directions - Annual Improvement Goals:** The LEA must provide for each year of full implementation, as well as the sustainability year (year 5) the grant (FYs 2017-2020) the annual improvement goals for improving student achievement in the identified schools on the applicable state assessment in both reading/language arts and mathematics. The LEA SIG goals and objectives must be specific, measurable, attainable, relevant, and time bound (S.M.A.R.T.).

**Example:**

Goal: The percentage of ALL students in the Meets/Exceeds Category will increase to \_\_\_\_\_ on the 2017 PARCC.

SIG 1003(g) Goal # \_\_\_\_\_:

**Directions - Objectives:** The LEA must provide for each year of the grant, objectives that align with the cited annual improvement goal. (Column 1) The SIG objectives should incorporate the intervention model improvement efforts, (Column 2) identify measurable outcomes that align with the selected interventions, (Column 3) provide the evidence of improvement or progress that will facilitate monitoring by the district and schools, (Column 4) identify a target date for completion, and (Column 5) identify the responsible individuals or entity charged with monitoring and ensuring the goal and identified objectives are implemented and completed. *See Objective example below.*

Objective (1)	Measurable Outcome(s) (2)	Evidence of Improvement or Progress (3)	Target Date for Completion (4)	Responsible Entity (5)
(Example) All students will receive a minimum of 60 minutes per day of reading/language arts instruction that is rigorous, aligned to state standards, and differentiated to ensure the growth of the individual student.	(Example) <ul style="list-style-type: none"> <li>100% students will show growth between the Beginning, Middle, and End of Year formative reading assessments.</li> <li>The number of students performing at or above grade level by the end of the year will increase by 20%.</li> <li>100% of weekly lesson plans reviewed will be aligned to key standards, and identify time for differentiated instruction.</li> </ul>	(Example) <ul style="list-style-type: none"> <li>Walkthrough data will verify that the minimum number of minutes of instruction is occurring.</li> <li>Content classes will incorporate reading/language arts standards and activities in their classes.</li> <li>The quality of lesson plans being reviewed will improve and will incorporate effective instructional strategies presented through the job-embedded professional development.</li> </ul>	(Example) Beginning/Middle/End of Year	(Example) <ul style="list-style-type: none"> <li>Teachers</li> <li>School Administrators</li> <li>Lead Partner</li> </ul>
Objective # _____				
Objective # _____				
Objective # _____				

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
THREE-YEAR BUDGET SUMMARY**

ATTACHMENT 11A

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339 -16</b>
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**Directions:**

- LEA Budget Amounts Year 1** = provide the total of Attachment 12A (Planning), based on projected costs.
  - LEA Budget Amounts Year 2, 3, and 4** = provide the total of Attachment 13A (Full Implementation), based on projected costs.
  - Individual Schools Year 1** = provide the total for each individual school of Attachment 16 (Planning), based on projected costs.
  - Individual Schools Years 2, 3, and 4** = provide the total of Attachment 17A (Full Implementation) - based on projected costs.
  - LEA Budget Amounts Year 5 (Sustainability Activities)** = total amount projected cannot exceed 50% of the amount of year 2.
  - Individual Schools Year 5 (Sustainability Activities)** = total amount projected cannot exceed 50% of the amount of year 2.
- Note:** Use whole dollars only. Omit commas and decimal places.

	Year 1 Budget	Year 2 Budget	Year 3 Budget	Year 4 Budget	Year 5 Budget	Five-Year Total
LEA and Individual Schools	Planning	Full Implementation	Full Implementation	Full Implementation	Sustainability Activities	
LEA Budget Amounts						
Individual Schools						
<b>TOTAL BUDGET</b>						





**ILLINOIS STATE BOARD OF EDUCATION**  
 System of Support and District Intervention Division  
 100 North First Street, N-242  
 Springfield, Illinois 62777-000

**FY 2016**  
**SCHOOL IMPROVEMENT GRANT**  
**(SIG) - SECTION 1003(g)**  
**FEDERAL BUDGET SUMMARY**  
 Use whole dollars only. Omit Commas  
 and Decimal Places, e.g., 2536

**Projected Budget**

<b>ISBE USE ONLY</b>	PROGRAM APPROVAL DATE AND INITIALS	
	TOTAL FUNDS	
	CARRYOVER FUNDS	
	CURRENT FUNDS	
	BEGIN DATE	END DATE
	<input type="checkbox"/> 06/30/2016	<input type="checkbox"/> 06/30/2017

<input checked="" type="checkbox"/> Initial Budget	<input type="checkbox"/> Amendment (No. _____)	<input type="checkbox"/> LEA Planning Budget	
<input type="checkbox"/> Revised Initial Budget	<input type="checkbox"/> Regular	<input type="checkbox"/> LEA Full Implementation Budget	
FISCAL YEAR 16 <input type="checkbox"/> 17 <input type="checkbox"/>	SOURCE OF FUNDS CODE 4339-16	REGION, COUNTY, DISTRICT, TYPE CODE	SUBMISSION DATE
DISTRICT NAME AND NUMBER			
SIG 1003(g) PROGRAM CONTACT		TELEPHONE NUMBER (Include Area Code)	
E-MAIL ADDRESS		FAX NUMBER (Include Area Code)	

**Directions:** Please check FY17 if the LEA is supporting schools who are taking a planning year. Check 16 if not schools are taking a planning year. Prior to preparing this Budget Summary request, please refer to the "State and Federal Grant Administration Policy, Fiscal Requirements, and Procedures" handbook that can be accessed at [http://www.isbe.net/funding/pdf/fiscal\\_procedure\\_handbk.pdf](http://www.isbe.net/funding/pdf/fiscal_procedure_handbk.pdf). Obligations of funds based on this budget request cannot begin prior to January 1, 2016 or receipt of a substantially approvable budget request, whichever is later.

LINE	FUNCTION NUMBER (1)	EXPENDITURE ACCOUNT (2)	SALARIES (3)	EMPLOYEE BENEFITS (4)	PURCHASED SERVICES (5)	SUPPLIES AND MATERIALS (6)	CAPITAL OUTLAY (7)	OTHER OBJECTS (8)	NON-CAPITALIZED EQUIPMENT (9)	TOTAL (11)
			(Obj. 100s)	(Obj. 200s)	(Obj. 300s)	(Obj. 400s)	(Obj. 500s)	(Obj. 600s)	(Obj. 700s)	
1	1000	Instruction								
2	2110	Attendance & Social Work Services								
3	2120	Guidance Services								
7	2210	Improvement of Instruction Services								
8	2220	Educational Media Services								
9	2230	Assessment & Testing								
10	2300	General Administration								
11	2400	School Administration								
13	2520	Fiscal Services								
15	2540	Operation & Maintenance of Plant Services								
16	2550	Pupil Transportation Services								
18	2570	Internal Services*								
19	2610	Direction of Central Support Services								
20	2620	Planning, Research, Development & Evaluation Services								
21	2630	Information Services								
22	2640	Staff Services*								
23	2660	Data Processing Services*								
24	2900	Other Support Services								
25	3000	Community Services								
27	4000	Payments to Other Districts and Governmental Units								
29	Total Direct Costs									
31	TOTAL BUDGET									

\*If expenditures are shown, the indirect cost rate cannot be used.

\_\_\_\_\_  
 Date Original Signature of Superintendent or Administrator

\_\_\_\_\_  
 Date Original Signature of ISBE Division Administrator, System of Support and District Intervention

- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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**Directions:** Prior to preparing this Budget Summary Breakdown request, please refer to the "State and Federal Grant Administration Policy, Fiscal Requirements, and Procedures" handbook that can be accessed at [http://www.isbe.net/funding/pdf/fiscal\\_procedure\\_handbk.pdf](http://www.isbe.net/funding/pdf/fiscal_procedure_handbk.pdf) . Obligations of funds based on this budget request cannot begin prior to January 1, 2016, or receipt of a substantially approvable budget request, whichever is later.

FUNCTION NUMBER (1)	EXPENDITURE DESCRIPTION AND ITEMIZATION (2)	SALARIES (3)	EMPLOYEE BENEFITS (4)	PURCHASED SERVICES (5)	SUPPLIES AND MATERIALS (6)	CAPITAL OUTLAY (7)	OTHER OBJECTS (8)	NON-CAPITALIZED EQUIPMENT (9)	TOTAL (11)
		(Obj. 100s)	(Obj. 200s)	(Obj. 300s)	(Obj. 400s)	(Obj. 500s)	(Obj. 600s)	(Obj. 700s)	
<b>TOTAL</b>									

- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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FUNCTION NUMBER (1)	EXPENDITURE DESCRIPTION AND ITEMIZATION (2)	SALARIES (3)	EMPLOYEE BENEFITS (4)	PURCHASED SERVICES (5)	SUPPLIES AND MATERIALS (6)	CAPITAL OUTLAY (7)	OTHER OBJECTS (8)	NON-CAPITALIZED EQUIPMENT (9)	TOTAL (11)
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<b>TOTAL</b>									

- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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<b>TOTAL</b>									

- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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		(Obj. 100s)	(Obj. 200s)	(Obj. 300s)	(Obj. 400s)	(Obj. 500s)	(Obj. 600s)	(Obj. 700s)	
<b>TOTAL</b>									

- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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- LEA Planning Budget
- LEA Full Implementation Budget
- FY16    FY17

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)  
LEA DETAILED BUDGET SUMMARY BREAKDOWN**

DISTRICT NAME AND NUMBER	REGION, COUNTY, DISTRICT, TYPE CODE	DISTRICT NCES I.D. #	SOURCE OF FUNDS CODE <b>4339-16</b>	END DATE <input type="checkbox"/> 06/30/2016 <input type="checkbox"/> 06/30/2017
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<b>TOTAL</b>									

**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F.

**Do not type beyond the space allowed as text will not wrap to the next page. Continue text on the next page.**

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System of Support and District Intervention Division  
100 North First Street, N-242  
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**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F. **Do not type beyond the space allowed as text will not wrap to the next page. Continue text on the next page.**

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System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

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DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F.

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F.

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F.

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

---

Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F.

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
100 North First Street, N-242  
Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

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Provide narrative responses to the prompts listed in the RFP. The LEA Narrative must not exceed 20 pages. Responses included must be organized and sequenced by section, letter, number, and letter, (e.g., 11.1 Recruitment and Selection of the Principal A, B, C, D, E, F. **Do not type beyond the space allowed as text will not wrap to the next page. Continue text on the next page.**

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**ILLINOIS STATE BOARD OF EDUCATION**  
System of Support and District Intervention Division  
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Springfield, I 62777-0001

**FY 2016 SCHOOL IMPROVEMENT GRANT (SIG) - SECTION 1003(g)**  
**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

---

DISTRICT NAME AND NUMBER

REGION, COUNTY, DISTRICT, TYPE CODE

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**LOCAL EDUCATION AGENCY (LEA) NARRATIVE (CONTINUATION)**

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