



Grant Accountability And Transparency Act (GATA)

Performance Reporting
October 2017



Uniform Guidance

Sections 200.328 and 200.331 of the Uniform Guidance require periodic performance reporting by grantees and the monitoring of those reports by the grantor agency.



GATA

Adopts the federal uniform guidance (2 CFR 200 “super circular”) for all grants and develops supplemental rules for areas not addressed by the federal regulations.



GOMB GATU

The GATA Unit (GATU) of the Governor's Office of Management and Budget (GOMB) has developed a **standard periodic performance reporting protocol and reporting template.**

- A separate report is required for each non-exempt grant, each quarter unless risk based conditions are applicable.
- Responses to the programmatic risk assessment may result in a monthly reporting requirement.



GATA Exemptions

GATU has approved a limited number of exemptions to GATA for certain programs.

The following ISBE programs are exempt from GATA and the periodic performance requirement.



Exempt Programs

- GSA/EBF funding
- Special Education MCATS
- Transportation
- Driver Education
- Reorganization Incentives



Periodic Performance Reporting

The GOMB periodic reporting template collects the following information:

- The status of the accomplishment of deliverable identified in the grant.
- The status of performance measures identified in the grant.
- The alignment of accomplishments to date with spending to date.



ISBE Reporting System

ISBE has developed an IWAS based system for the submission of required reports that we will now demonstrate.



Grantee Compliance

- GOMB has developed a policy framework called Grantee Compliance Enforcement System (GCES).
- The Illinois **Stop Payment List** is a component of the GCES that was initiated for FY18.



Impact of GCES

- The GCES outlines procedures for notifying grantees of noncompliance issues that **include, but are not limited to**, failure to provide required reports, return unexpended funds, or submit audits.
- The GCES **protocol allows grantees the opportunity to respond and resolve** noncompliance issues.
- Grantees on the Illinois Stop Payment List **may have grant funding withheld** from other agencies until the noncompliance issue is resolved.



Questions

- The [ISBE.net/GATA](https://www.isbe.net/GATA) website includes steps, links, and FAQs.
- Questions regarding GATA implementation at ISBE can be directed to gata@isbe.net for assistance.