Themes and Trends in Conflict Resolution

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Special Education Dispute Resolution in Illinois

The following table provides a comparison of each of the dispute resolution processes offered by the Illinois State Board of Education (ISBE),

Special Education Department.

	State-Sponsored IEP Facilitation	State-Sponsored Mediation	Written State Complaint	Due Process Hearing
Purpose	Used to promote effective communication and prevent conflicts as a parent and school district develop a mutually acceptable IEP.	Available anytime there is a disagreement between parents and educators about special education and/or related services, even if a written state complaint or due process has been filed.	Available when there is a concern that a public agency has not followed special education rules and regulations. May be filed on behalf of an individual student or a group of children.	Used to resolve disagreements relating to the identification, evaluation, educational placement, or provision of FAPE to a child.
Issues Addressed	Any issues related to IEP development	Any issues related to special education	Alleged violations of state/federal special education requirements	Any issue related to identification, evaluation, placement, or the provision of FAPE
Request	A parent or school district may request facilitation by contacting ISBE.	A parent or school district may request mediation by contacting ISBE.	Any person or organization may submit a written complaint to ISBE.	A parent may file a due process request with the district superintendent. A district may file a due process request under limited circumstances (i.e., to challenge an Independent Educational Evaluation (IEE) request, to seek temporary removal of a student due to dangerous behavior).
Request Form	https://sec.isbe.net/sems /iepfacilitationrequestpu blic.aspx	https://www.isbe.net/Docum ents/34-15-mediation- request.pdf	https://www.isbe.net/Docum ents/complaint-form- English.pdf	https://www.isbe.net/Documents/dp_parental_19-86a.pdf
Process	ISBE provides a trained facilitator at no cost to the parties to help foster effective communication between parents and districts as they develop a mutually acceptable IEP.	ISBE provides a trained mediator at no cost to the parties as a means of resolving disagreements regarding special education matters.	Information and documentation related to the allegation(s) are reviewed by an ISBE complaint investigator.	An impartial hearing officer hears formal arguments, witness testimony, and documentary evidence similar to a court proceeding.

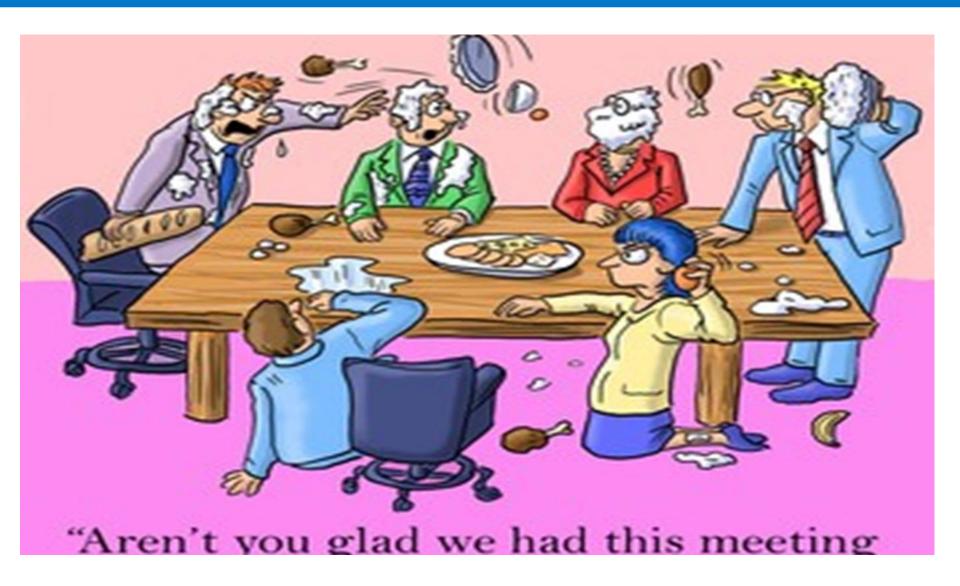
Format of	Parties meet within	Parties convene for a formal	Parties do not meet,	Parties meet in an
Process	context of formal IEP	discussion.	complaint investigator	administrative hearing.
	meeting.		requests information from	
			the district and	
			independently reviews	
			documentation.	
Potential	A mutually acceptable IEP	A legally-binding mediation	A report with findings of	A decision that orders
Outcome	is developed.	agreement enforceable in	facts, conclusions, and	remedial action, as
		court is developed.	corrective actions (if	determined by the hearing
			noncompliance is identified)	officer.
			is issued.	
Timeline to	No specific timeline	Must be scheduled in a timely	60 Calendar Days	75 Calendar Days*
Complete		manner		
Process				
Legal	Attorneys are neither	Participants may include	Attorneys are neither	Although not required,
Representation	required nor prohibited.	attorneys, advocates,	required nor prohibited.	parties are often represented
		interpreters, and other		by attorneys.
		relevant parties who have		
		knowledge of the student		
		and/or of the matter being		
		mediated.		
District	Voluntary	Voluntary	Required	Required
Participation				
Statute of	Not applicable	None	One Year	Two Years
Limitations				
Allowable	Meeting can be	Meeting can be rescheduled	Only when exceptional	At the request of either party
Extensions	rescheduled with	with agreement of parties.	circumstances require an	
	agreement of parties.		extension.	
Website	https://www.isbe.net/Pa	https://www.isbe.net/Pages/	https://www.isbe.net/Pages/	https://www.isbe.net/Pages/
	ges/IEP-Facilitation-	Special-Education-State-	Special-Education-Complaint-	Special-Education-Due-
	System.aspx	Sponsored-Mediation.aspx	Investigation-Process.aspx	<u>Process.aspx</u>

^{*}Regular hearing request with full resolution session timeline.

Adapted from Quick Guide to Special Education Dispute Resolution Processes for Parents at: https://www.cadreworks.org/sites/default/files/resources/Dispute%20Resolution%20Process%20Comparison%20Chart.pdf

Top Ten Issues in Conflict Resolution July 1, 2024 through June 1, 2025

Complaints	Due Process	Mediation
Development of IEP	Placement Determination	Educational Services/IEP
IEP Implementation (Accommodations/Modifications)	Independent Educational Evaluation	Placement Determination
IEP Implementation (Special Education Svcs/Program)	Sufficiency of Instructional Services Offered	Evaluation of Students for Special Education Services
Parent Participation in IEP Meetings	Placement of Children by Parents When FAPE Is an Issue	Procedural Safeguards
IEP Implementation (Related Services/Programs)	FAPE (general)	Eligibility for Special Education Services
Referral/Evaluation Procedures	Parent Participation in IEP Meetings	Reimbursement
Prior Written Notice	Sufficiency of Related Services Offered	Related Services
FAPE (general)	IEP Implementation (Special Education Svcs/Program)	Discipline Procedures
IEP Review and Revision	Child Find	Personnel
Content of IEP	Disagreement over Eligibility	Other





IEP Facilitation Statistics

*through 6/1/25

IEP Facilitations	2022-23	2023-24	2024-25*
Total requests	116	155	221
Facilitations held	107	153	140
Average meeting duration	1:42	1:32	1:31



Benefits of IEP Facilitation

- Builds and improves relationships among IEP team members;
- Keeps the meeting focused on the student;
- Encourages parents and professionals to consider new options to address unresolved problems;
- Provides opportunities for the IEP team to resolve conflicts;
- Supports participation of all IEP team members;

- No cost to the parties;
- Typically, less stressful than formal dispute resolution options;
- Improves communication skills and keeps the team focused on the development of the IEP;
- Keeps decision-making with team members who know the student best; and
- Develops greater capacity of teams as they learn from facilitators how to conduct meetings successfully.



Top Ten Issues in Mediation Two Years of Side-by-Side Data

July 1, 2023 – June 30, 2024	July 1, 2024 – June 1, 2025
Educational Services/IEP	Educational Services/IEP
Placement Determination	Placement Determination
Evaluation of Students	Evaluation of Students
Eligibility for Special Education Services	Procedural Safeguards
Reimbursement	Eligibility for Special Education Services
Procedural Safeguards	Reimbursement
Discipline Procedures	Related Services
Related Services	Discipline Procedures
Other	Personnel
Records	Other





Mediation Statistics

*through 6/1/25

Mediations	2022-23	2023-24	2024-25*
Total requests	500	502	542
Mediations held	313	277	314
Mediations held related to DP	136	106	115
Mediation agreements reached related to DP	83	57	76
Mediations held NOT related to DP	177	171	198
Mediation agreements reached	121	120	134
Mediations withdrawn or not held	134	149	161
Mediations pending	0	3	66
Percentage of mediation agreements	65%	64%	67%



Benefits of Mediation

- Results in lowered financial and emotional costs compared to due process;
- Provides participants with an opportunity to increase their understanding of their unique situation and to explore a mutually acceptable resolution;
- Often results in written agreements where parties have an increased commitment to and ownership of the resolution;
- Enables parties to have more control over the process and decision-making;

- Remedies are often individually tailored, contain workable solutions, and are easier for the parties to implement;
- Mediation focuses on developing a plan for the future;
- Mediation discussions are confidential and cannot be used in a due process hearing or civil lawsuit; and
- May be helpful in resolving issues that are subject to State complaints or due process complaints.

Preparing for and Attending Mediation

- Ensure the appropriate decision makers are participating in mediation. Representatives should attend who can make commitments on behalf of the school or service providers, and the family should be encouraged to bring individuals who can support them during the process.
- Review policies and guidelines to ensure they don't act as impediments to the process. For example, setting strict time limits on length of mediation sessions or restricting the number of sessions may falsely limit problem-solving opportunities.
- Make a list of possible resolutions for each concern/issue.
- Come to the mediation with an open mind and the desire to find a workable solution.
 Be creative and willing to look at other options that are brought to the table.
- Express your viewpoint as clearly as you can by using supporting documentation.
- Listen respectfully to the parent's point of view and do not interrupt. Ask questions and consider their views even if they are different from yours.
- Keep the emphasis on planning for the future.
- If an agreement is reached, read it carefully before signing the document to make sure it clearly describes your understanding of what was agreed to at the meeting.



"Stay-Put" in Mediation & Due Process

- Invoking "stay-put"—The "stay-put" placement shall be the student's present educational placement and the student must continue, if applicable, in his or her present eligibility state and be provided with the special education and related services in place at the time the dispute arose.
- Illinois has now added a "stay-put" provision to mediation when mediation occurs outside of a pre-existing due process case.
- If a parent seeks mediation within ten days of an IEP meeting that results in a change of placement, "stay-put" will take effect until (a) the district declines to participate in mediation, or (b) the mediation takes place but no agreement is reached
- Upon termination of the mediation, the parent has an additional ten days to file for due process to continue the protections of "stay-put"
- In the context of mediation, the mediator does **NOT** serve as a judge to determine what the "stay-put" placement actually is or should be



"Stay-Put" in Mediation & Due Process

- Once due process is filed, the "stay-put" provision is automatic. It does not need to be invoked.
- Unlike mediation, a due process hearing officer does have the authority to determine and rule upon what the "stay-put" placement is.
- "Stay-put" in due process remains until:
 - The parties agree to another placement pending the final outcome of the due process, or
 - The hearing officer issues a final ruling or the due process request is either dismissed or withdrawn



Mediation, Due Process and Timelines

- Mediation, by itself, does not have a strict timeline. ISBE's strong
 preference is to assign a mediator and complete the mediation as fast as
 reasonably possible.
- Due Process, however, does have a timeline: the 30-day "resolution period" and the 45-day hearing timeline. The hearing timeline does not start until the resolution period concludes (except in Expedited Hearings)
- Per the requirements of 34 CFR 300.510, the resolution period may be longer or shorter depending on certain factors:
 - The parties agree in writing to waive the resolution meeting
 - The one party or the other refuses to participate in the resolution process when it is required to go forward, or
 - The parties agree in writing to utilize state-sponsored mediation in lieu of a resolution meeting in this case, the hearing timeline does not start until the mediation is at an end

Mediation, Due Process and Timelines

- Important points about Mediation and Due Process:
 - Mediation can be requested at any time during Due Process, even if the parties attempted Mediation prior to the filing of the Due Process Request
 - If Mediation is undertaken AFTER the resolution period, it does not affect the hearing timeline
 - In the case of Expedited Hearings, Mediation does not delay the hearing timeline, even when Mediation in lieu of the Resolution Meeting. Expedited Hearing timelines can NEVER be extended.
 - If the parties engage in Mediation during Due Process, it is the parties' responsibility to keep the hearing officer informed of the progress in the mediation



Top Ten Issues in Due Process Two Years of Side-by-Side Data

July 1, 2023 – June 30, 2024	July 1, 2024 – June 1, 2025
Placement Determination	Placement Determination
Sufficiency of Instructional Services Offered	Independent Educational Evaluation
Independent Educational Evaluation	Sufficiency of Instructional Services Offered
Sufficiency of Related Services Offered	Placement of Children by Parents When FAPE Is an Issue
Placement of Children by Parents When FAPE Is an Issue	FAPE (general)
FAPE (general)	Parent Participation in IEP Meetings
Disagreement over Eligibility	Sufficiency of Related Services Offered
Parent Participation in IEP Meetings	IEP Implementation (Special Education Svcs/Program)
LRE Requirements	Child Find
Child Find	Disagreement over Eligibility

Due Process Statistics

*through 6/1/25

Due Process	2022-23	2023-24	2024-25*
Total requests	266	254	257
Hearings held	27	14	9
Withdrawn/ Closed	217	207	162
Dismissed	22	24	33
Open	0	14	61



Your Due Process "To Do" List

- Contact your legal counsel when a due process request (or an IEE demand) arrives ASAP
- Gather together all written communications between the parent and the staff
- Pull the student file and make sure it's organized
- Discuss as soon as possible with legal counsel whether a settlement will be possible (resolution session/ mediation)

- Always consider options such as Resolution Meetings or Mediations as a means of resolving the dispute.
- Identify key staff that may need to serve as witnesses for the district
- Do not discuss the due process case with the parent without your legal counsel's knowledge and agreement
- Expedited hearings are a priority (you have a 20-school-day timeline that can't be extended)



Top Ten Issues in Complaints Two Years of Side-by-Side Data

July 1, 2024 – June 1, 2025	July 1, 2023 – June 30, 2024	
Dovolonment of IED	IEP Implementation	
Development of IEP	(Special Education Services/Program)	
IEP Implementation	Parent Participation in IEP Meetings	
(Accommodations/Modifications)	ratefit ratticipation in the infectings	
IEP Implementation	IEP Implementation	
(Special Education Services/Programs)	(Accommodations/Modifications)	
Parent Participation in IEP Meetings	Development of IEP	
IEP Implementation	IEP Implementation	
(Related Services/Programs)	(Related Services/Programs)	
Referral/Evaluation Procedures	Notification (prior notice, content of notice, understandable language)	
Notification (prior notice, content of notice, understandable language)	FAPE	
FAPE (general)	Referral/Evaluation Procedures	
IEP Review and Revisions	IEP Review and Revisions	
Content of IEP	Referral/Evaluation Procedures	

Complaint Statistics

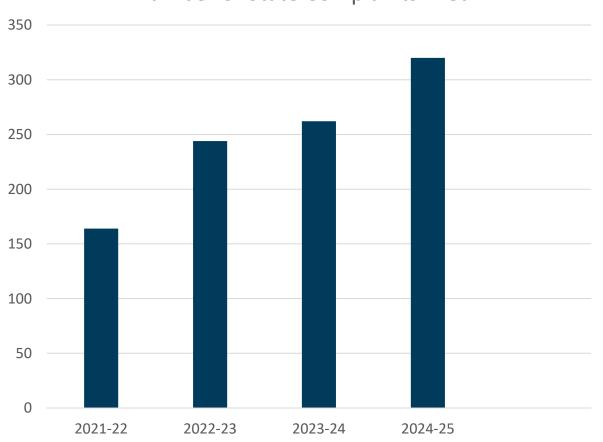
*through 6/4/25

Complaints	2022-23	2023-24	2024-25*
Total complaints filed	244	262	297
Total investigations conducted	142	153	110
Violations identified	88	107	77
No violations identified	54	46	33
Complaints withdrawn or dismissed	102	108	113



State Complaints Filed 2021-22 to 2024-25

Number of State Complaints Filed





Written State Complaints- Key Points

- Less formal option to resolve noncompliance than Due Process
- Anyone can file a complaint
- Local resolution of complaints strongly encouraged
- Parent can use Mediation or IEP Facilitation to resolve complaint
- ISBE will notify LEA in writing of the complaint and request response
- Investigation may include review of documentation, interviews, on-site visit; No hearing

- LEA encouraged to offer resolution to complaint
- Written decision issued within 60 calendar days; May include corrective action to address non-compliance.
- Participating in local resolution,
 Mediation, or IEP Facilitation does not automatically freeze complaint timeline
- If written decision includes corrective action, LEA must show that noncompliance is completed before complaint is closed.



Contact Information

- ISBE State Complaint Team:
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 - Karen Handy
 - Karen Kath
 - Dr. Kristina Kynaston
 - Jennifer Silva
 - Dr. Danielle Welch
 - Natalie White
- ISBE Due Process Coordinator: Andy Eulass
- **ISBE Mediation Coordinator:** Emily Postelmans
- ISBE IEP Facilitation Coordinator: Emily Postelmans

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