Prevention and Intervention Programs for Children and Youth Who are Neglected, Delinquent, or At-Risk under ESSA, Title I – Part D

Every Student Succeeds Act

RaTasha Bradley and Annie Brooks
• Vision
  – Illinois is a state of whole, healthy children nested in whole, healthy systems supporting communities wherein all people are social economically secure.

• Mission
  – Provide leadership and resources to achieve excellence across all Illinois districts by engaging legislators, school administrators, teachers, student, parents, families, and other stakeholders in formulating and advocating for policies that enhance education, empower districts, and ensure equitable outcomes for all students.
Illinois State Board of Education

Goals

• Every child in each public school system in the State of Illinois deserves to attend a system wherein...
  – All kindergartners are assessed for readiness.
  – Ninety percent or more of third-grade students are reading at or above grade level.
  – Ninety percent or more of fifth-grade students meet or exceed expectations in mathematics.
  – Ninety percent or more of ninth-grade students are on track to graduate with their cohort.
  – Ninety percent or more of students graduate from high school ready for college and career.
  – All students are supported by highly prepared and effective teachers and school leaders.
  – Every school offers a safe and healthy learning environment for all students.
The Whole Child

A child within an ecology of multiple and interconnected parts nested in overlapping systems
What will we learn?

I. What is Title I, Part D?
II. Eligibility and Funding
III. Data Reporting and Evaluation
IV. Application process
What is Title I, Part D
The purposes of Title I, Part D are to:

1. To improve educational services for children and youth in local and tribal State institutions for neglected or delinquent children and youth so that such children and youth have the opportunity to meet the same challenging State academic standards that all children in the State are expected to meet.

2. To provide such children and youth with the services needed to make a successful transition from institutionalization to further schooling or employment; and

3. To prevent at-risk youth from dropping out of school, and to provide dropouts, and children and youth returning from correctional facilities or institutions for neglected or delinquent children and youth, with a support system to ensure their continued education and the involvement of their families and communities.
Who is served?

- Each school year, the Part D program serves more than 450,000 students who are neglected, delinquent, or considered at risk for school failure and/or delinquency. The program provides supplemental educational services in the amount of approximately $185 million each year to State and local educational agencies.

- The Part D program serves students in various settings, including those who reside in juvenile detention facilities and juvenile and adult correctional facilities, those residing in facilities for youth who are neglected, and those attending programs directed at preventing school dropout and/or delinquent involvement. Although there is variation in the programming and environments where youth are served across the Nation, the majority of youth receiving services reside in juvenile detention and juvenile correctional facilities.

- The Part D program reaches a racially and ethnically diverse population: non-Hispanic African-American and Caucasian students each account for more than one-third of the total population, Hispanic students are approximately one-quarter, and the remaining students comprise American Indians, Alaskan natives, Asian and Pacific Islanders, and other races. Although these data reflect national averages, it is important to note the widespread variations in student populations at the State level.
Title I, Part D Structure Cont.

U.S. Department of Education → State Education Agencies → State Agency subgrantees

Subpart 1
- N or D institutions
- Community day programs that primarily serve students who are N or D

Subpart 2
- Locally operated correctional facilities
- Community day programs that primarily serve students who are N or D
- Other programs for children who are neglected or at risk
Eligibility and Funding
Eligibility

Subpart 1:

• A State agency is eligible for assistance under this subpart if such State agency is responsible for providing free public education for children and youth—
  1. in institutions for neglected or delinquent children and youth;
  2. attending community day programs for neglected or delinquent children and youth; or
  3. in adult correctional institutions
Eligibility

Subpart 1:

Facility Eligibility

Facilities that serve children and youth who are neglected or delinquent.

Facilities that have an average length of stay of at least 30 days.
Eligibility

Subpart 1:

Student Eligibility

Students who are 20 years old or younger.

Students who are enrolled in State-funded regular program of instruction for at least 15 hours/week if in an adult facility or 20 hours/week in a juvenile facility or community day program.
Eligibility

Subpart 2:

SEA awards subgrants to eligible LEAs:

- high numbers or percentages of children and youth in locally operated correctional facilities for children and youth not operated by the State, including public or private institutions and community day programs or schools that serve delinquent children and youth.

- By formula or on a discretionary basis, it may establish criteria or priorities or both, consistent with State requirements for awarding grants.
Eligibility

Subpart 2:

Facility Eligibility

Locally operated facilities that meet the definition of an institution for children and youth who are neglected, an institution for children and youth who are delinquent, or an adult correctional institution. Facilities need not have a 30 day average length of stay.
Eligibility

Subpart 2:

Student Eligibility

Students 5-17 years old.

Students NOT counted in the State Agency/Subpart 1 Annual Count.

Students that reside at a live-in institution or facility for at least one day within the 30-day count window (one day of which must be in October).
Funding

Basic Requirements of Part D:

Although requirements differ for State (Subpart 1) and local (Subpart 2) programs, all agencies that receive Part D funds are required to:

1. Meet the educational needs of children and youth who are N or D and assist in the transition of these students from residential facilities to community-based programs;

2. Ensure that these students have the same opportunities to achieve as students in regular community schools;

3. Annually evaluate the program and disaggregate data on participation by gender, race, ethnicity, and age; and use evaluation data to plan and improve subsequent programs for participating children and youth.
Funding

Use of Funds

Subpart 1-

Projects may use Subpart 1 funds to pay the necessary and reasonable costs that provide a variety of services, including reading, mathematics, language arts, and vocationally oriented programs that include academic classroom instruction so long as these are supplementary services and materials.
Funding

**Use of Funds**

Subpart 2-

An LEA receiving Subpart 2 funds may use the funds to operate programs that involve collaboration with locally operated facilities with which the LEA has established formal agreements regarding the services to be provided:
Funding

Use of Funds

Subpart 2 cont.-

• To carry out high-quality education programs that prepare children and youth to complete high school, enter training or employment programs, or further their education;

• To provide activities that facilitate the transition of such children and youth from the correctional program in an institution to further education or employment; and

• To operate dropout prevention programs in local schools for children and youth who are at-risk of dropping out or youth returning from correctional facilities.
Funding

*Use of Funds*

Subpart 2 cont.-

- Dropout prevention programs that serve at-risk children and youth. An at-risk child or youth means a school-aged individual who is at-risk of academic failure, has a drug or alcohol problem, is pregnant or is a parent, has previously come into contact with the juvenile justice system, is at least 1 year behind the expected grade level for the age of the individual, is a migrant or an immigrant, has limited English proficiency, is a gang member, has previously dropped out of school, or has a high absenteeism rate at school.

- Coordination of health and social services for children and youth who are at-risk (e.g., day care, drug and/or alcohol abuse counseling and mental health services) if there is a likelihood that providing such services will help these children complete their education.
Funding

*Use of Funds*

Subpart 2 cont.-

- Special programs that meet the unique academic needs of children and youth who are at-risk, including vocational and technical education, special education, career counseling, curriculum-based entrepreneurship education and assistance in securing of student loans or grants for postsecondary education.
- Programs providing mentoring and peer mediation.
Supplement not Supplant

All Title I, Part D funds are subject to a supplement not supplant requirement.

What is supplanting?

– Supplanting means substituting or replacing funds from non-federal sources (state/local) for existing or previously existing instructional programs or non-instructional services or
– Substituting or replacing funds from other federal/state programs required by law for specific categories of students
Data Reporting and Evaluation Process

Annual Count Survey
Consolidated State Performance Report
Why States Must Collect and Submit Data:

Major Federal data collections for Title I, Part D:

- **Annual Child Count**
  - Used by U.S. Department of Education (ED) to determine Title I, Part A and Title I, Part D funding allocations

- **Consolidated State Performance Report (CSPR)**
  - Used by ED to demonstrate the effectiveness of Part D programs
Reporting Process

• **Annual Count Survey**

The purpose of the Annual Child Count (officially called the “Annual Report of Children in Institutions for Neglected or Delinquent Children, Adult Correctional Institutions, and Community Day Programs for Neglected or Delinquent Children”) is to provide ED with accurate information on:

1. The number of children and youth enrolled in regular programs of instruction in eligible State-operated (Subpart 1) institutions for youth who are N or D, adult correctional institutions, and community day programs; and

2. The location and number of children and youth living in locally-operated (Subpart 2) delinquent institutions or adult correctional facilities.

The Annual Child Count is sometimes referred to as the “October Count,” because LEAs applying for Subpart 2 funding must select a count period of 30 consecutive days, 1 day of which must be in October. Although SAs conduct their counts using an altogether different method that bears no specific connection to the month of October, the “October Count” label is often used interchangeably with “Annual Child Count” for both programs.
Annual Count Survey

SECTION I – INSTITUTION AND SCHOOL DISTRICT

1A. LEGAL NAME OF INSTITUTION

1B. LEGAL ADDRESS (Number, Street, City, State, Zip Code)

1C. TELEPHONE (Include Area Code)

1D. FAX NUMBER (Include Area Code)

1E. E-MAIL ADDRESS

2. TYPE OF INSTITUTION (Check (V) One Only)

A. An “INSTITUTION FOR NEGLECTED CHILDREN” means as determined by the SEA, a public or private residential facility (other than a foster home) that is operated for the care of children who have been committed to the institution, or voluntarily placed in the institution under appropriate state law, because of a failure to find a suitable home for the child.

B. An “INSTITUTION FOR DELINQUENT CHILDREN” means, as determined by the SEA, a public or private residential facility that is operated for the care of children who have been determined to be delinquent or in need of supervision, and has an average length of stay in the institution of at least 30 days.

3A. NAME OF LOCAL PUBLIC SCHOOL DISTRICT IN WHICH CHILDREN RESIDING IN THIS INSTITUTION ATTEND SCHOOL

3B. ADDRESS (Number, Street, City, State, Zip Code)

3C. TELEPHONE

3D. FAX (Include Area Code)

3E. E-MAIL ADDRESS

SECTION II – BASIS FOR ELIGIBILITY

1. Is this institution operated primarily for the care of: (See instructions on back.)

   Yes No

   A. Children who are abandoned by, neglected by, or separated by the death of their parents?

   Yes No

   B. Children who have been determined by appropriate state or local authority to be delinquent or in need of supervision?

2. Yes No Is this institution a residential facility in which children are under 24 hour care?

SECTION III – CASELOAD DATA

IMPORTANT: Children counted under the provisions of Title I, 89-1013 programs for handicapped children are eligible to be counted in the caseload.

NOTE: For purposes of the Title I formula, only children aged 5-17, inclusive, are eligible to be included in the caseload data under item 2 of this section even though any child who meets the definition of children as explained in the instructions for Section II is eligible to be served.

1. From 2017 to 2017 Thirty-day period of caseload data collection.

2. Total caseload for 30-days period 2017.

3. Of the total caseload, number of children aged 5-17 inclusive who lived in the institution for at least one day during this period of 30 days.
Title I-D Allocations

ED determines the amount of a State’s allocations based on the number of students submitted to ED in the Annual Child Count.

SEAs allocate:
- S1 funds to SAs based on formula funding
- S2 funds to LEAs based on formula funding and/or discretionary grants
Title I–D Allocations to LEAs

- Each eligible facility must be designated as a neglected or delinquent institution, but not both, even if it serves both.
  - A facility should maintain consistency with how it was designated last year.
  - If a facility has never provided a count before or its charter has changed, designate it according to its charter.
  - If a facility’s charter is not clear or unavailable and the facility never provided a count before, designate the facility based on its enrollment.
- Count each unique case/enrollment in a facility’s caseload
How are SA and LEA Counts Different?

**State Agency Count**
- In a program for youth who are N or D, including juvenile and adult correctional facilities and community day programs
- Enrolled in a State-funded “regular program of instruction”*
- Enrolled in a program that has an average length of stay of at least 30 days on day of count during the calendar year
- 20 years of age or younger

**Local Educational Agency Count**
- Living in local institutions for children and youth who are N or D or adult correctional institutions
- Living in the institution for at least 1 day during the 30 day count period
- 5 through 17 years of age
<table>
<thead>
<tr>
<th>Students Counted (Annual Count)</th>
<th>Students Served</th>
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<tbody>
<tr>
<td>▪ In a program for youth who are N or D, including juvenile and adult correctional facilities and community day programs.</td>
<td>▪ In a program for youth who are N or D, including juvenile and adult correctional facilities and community day programs.</td>
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<td>▪ Enrolled in a State-funded “regular program of instruction”*</td>
<td>▪ Enrolled in a State-funded “regular program of instruction”</td>
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<td>▪ Enrolled in a program that has an average length of stay of at least 30 days on day of count during the calendar year.</td>
<td>▪ Enrolled in a program that meets the length of stay requirements for the given program type (requirements vary).</td>
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<td>▪ 20 years of age or younger</td>
<td>▪ 21 years of age or younger</td>
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Count vs. Serve
Subpart 2

**Students Counted (Annual Count)**
- Living in local institutions for children and youth who are N or D or adult correctional institutions
- 5 through 17 years of age

**Students Served**
- Living in local institutions for children and youth who are delinquent or in adult correctional institutions; eligible for services under Title I, Parts A and C; or identified as “at risk” (e.g., migrants, immigrants, gang members, pregnant or parenting youth)
- 21 years of age or younger
Consolidated State Performance Report

- Administered annually by ED’s Office of Elementary and Secondary Education (OESE)
- Is mandatory for all States that receive Title I, Part D funding
- Collected for the SA programs (Subpart 1) and the LEA programs (Subpart 2) in areas that measure student progress in relation to academic achievement and vocational and transition outcomes.
Both SAs and LEAs collect data for the same indicators, which focus on four main areas:

1. Student and facility counts
2. Demographics (racial and ethnic category, age, sex, disability, and limited English proficiency (LEP) status)
3. Academic and vocational outcomes (in facility and within 90 calendar days after exit)
4. Academic achievement (performance) in reading and mathematics.
Counting students in the CSPR versus annual count.
The criteria for being reported in the CSPR are not the same as those for the Annual Child Count (which relates to the allocation of Part D funding). All students who benefitted from Part D–related programming or services during the school year should be included in the CSPR. It is assumed that the students benefiting from programming and services already met the eligibility criteria for receiving funds under Subpart 1 or Subpart 2, as appropriate.
Evaluation Requirements

Each SA and LEA must:

- Submit evaluation results to the SEA and ED.
- Use the results of evaluations to plan and improve subsequent programs for participating children and youth.

Part D programs should be designed with the expectation that children and youth will have the opportunity to meet the same challenging State academic content and academic achievement standards that all children in the State are expected to meet. To the extent feasible, evaluations should be tied to the standards and assessment system that the State or school district has developed for all children.
Application Process
Application process

1. State educational agency (SEA) submits State Plan or Consolidated State Plan/Application to ED

2. SEA prescribes criteria for State agency (SA) and local educational agency (LEA) applications based on Title I, Part D, Section 1414(c) (SA applications) or Section 1423 (LEA applications) and the State Plan or Consolidated State Plan/Application as relevant and sends to subgrantees.

3. SA submits completed SA application to SEA

4. LEA submits completed LEA application to SEA

Whole Child ● Whole School ● Whole Community
Application process

- Title I Delinquent
- Title I N/D Juvenile and Adult Corrections
- Title I Neglected
# Year at a Glance

<table>
<thead>
<tr>
<th>Month</th>
<th>SA/LEA Action</th>
<th>ED Action</th>
<th>Coordinator Action</th>
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<td><strong>SEPTEMBER</strong></td>
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<td>Monitor Subgrantees (Sep–May)</td>
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<td>SAs and LEAs select count window for Annual Count</td>
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<td><strong>OCTOBER</strong></td>
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<td>ED distributes Annual Count (&quot;October Count&quot;) Survey and guidance</td>
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<td>Distribute Annual Count Surveys to SAs and LEAs (OCT–DEC)</td>
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<td>SAs and LEAs count eligible students within selected count windows</td>
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<td><strong>DECEMBER</strong></td>
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<td>Collect and verify Annual Count Data from Subgrantees (Dec–Jan)</td>
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<td><strong>JANUARY</strong></td>
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<td>Submit Annual Count of Students Counted to ED to determine formula counts for allocating Federal funds to States</td>
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<td>Verify and submit data on students served (unduplicated count) via CSPR and EDFacts to ED to track student achievement (Jan/Feb)</td>
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<td><strong>MARCH</strong></td>
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<td>Prepare Part D SA and LEA Applications</td>
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<td><strong>APRIL</strong></td>
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<td>ED announces preliminary awards (April/May)</td>
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<td><strong>MAY</strong></td>
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<td>Release Part D Applications to Eligible SAs and LEAs</td>
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<td>ED prepares funding tables based on Annual Count and funds available late Spring</td>
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<td><strong>JULY</strong></td>
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<td>ED releases Part D funds to the States</td>
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<td>Collect CSPR Data from Subgrantees (July–Jan)</td>
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<td><strong>AUGUST</strong></td>
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<td>Make Part D Awards (Allocate Part D Funds Received from ED)</td>
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<td>Prepare for the Annual Count and CSPR Data Collections (e.g., update survey forms) (Aug–Sep)</td>
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<td>Provide training/technical assistance (T/TA) as appropriate to Subgrantees on the Annual Count and CSPR Data Collections (Aug–Nov)</td>
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<td>Prepare for Subgrantee Monitoring, including setting schedule, updating monitoring protocols, and preparing other related materials</td>
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*NOTE: These are guidelines and suggested timeframes. Federal reporting dates vary from year to year, and States adopt different schedules according to their specific circumstances and preferences.*
Resources

1. Illinois State Board of Education: ISBE.net/essa
Questions and/or Comments
THANK YOU!

Questions/concerns, please contact
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Annie Brooks, Title I Director
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Springfield: (217) 782-3950