



Illinois State Board of Education

100 North First Street • Springfield, Illinois 62777-0001
www.isbe.net

Jesse H. Ruiz
Chairman

Christopher A. Koch, Ed.D.
State Superintendent of Education

MEMORANDUM

To: Eligible Applicants

From: Patrick Murphy
Division Administrator
Educator and School Development

Date: May 29, 2009

Subject: Fiscal Year 2010 American Recovery and Reinvestment Act of 2009
(ARRA) Title I, Part A Grant Application for Neglected Programs

Attached is the FY10 ARRA grant application for continuing funds for your Neglected Program. This application, offered only to those programs funded in FY09, permits you to apply for these additional federal funds. Upon approval of this application, the funds will be distributed to continuing programs based upon the budget summary and payment schedule within the application.

The attached PDF document has been revised to facilitate on-line completion. Please complete, print, and affix all appropriate signatures. Submit the original copy of the ARRA Title I Part A, Neglected Program grant application by close of business on June 30, 2009. Applications may be mailed or hand-delivered to the address provided below. If you have any questions regarding this application, please contact Cheryl Bradley at cbradley@isbe.net or 217-782-2948.

Cheryl Bradley
Educator and School Development, E-310
Illinois State Board of Education
100 North First Street
Springfield, IL 62777-0001

Attachment

**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
(ARRA) P.L. 111-5
TITLE I, PART A TARGETED ASSISTANCE PROGRAMS
FOR NEGLECTED OR DELINQUENT CHILDREN**

Public Law 107-110, No Child Left Behind (NCLB), provides Federal funds for compensatory educational programs for neglected or delinquent children. This is a federally-funded program designed with the following goal:

To provide the opportunity to meet the State's challenging student academic achievement in subjects as determined by the State.

Youth are eligible when they reside in a state or private (local) institution for neglected or delinquent children, are between the ages of 5 and 17, and are entitled to a free public education not above grade twelve.

APPLICATION FORMAT

Local Education Agencies (LEAs) wishing to implement projects must, in accordance with existing Federal and State guidelines, determine the educational needs of the residents, plan instructional and service activities to meet these needs, and make application to the State for project approval and funding. **If approved, LEAs must then implement their projects, maintain fiscal records, evaluate results, and report on the outcomes of their project activities.**

Questions concerning this application should be directed to Cheryl Bradley at 217-782-2948 or cbradley@isbe.net. Applications must be submitted by close-of-business on **June 30, 2009** to the following address:

Cheryl Bradley
Illinois State Board of Education
Educator and School Development Division
100 North First Street, E-310
Springfield, Illinois 62777

Each application must be submitted in the following format; use this format as a checklist in completing the application. Applicants may download this Application and use the "fill-in" forms made available on e-mail. Information can be entered while the form is displayed by an Adobe Acrobat product, saved, and then printed for appropriate signatures.

**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
(ARRA) P.L. 111-5
TITLE I, PART A TARGETED ASSISTANCE PROGRAMS**

Cover Page: Complete the cover page by providing all the required information and the original signature of the superintendent or authorized official.

Program Description: Complete the sections of the Program Description within the spaces provided; do not insert additional sheets.

- A. Abstract: This is a brief description of the program that includes the specific items listed.
- B. Outcomes: The program outcomes must be stated in measurable terms as illustrated in the example.

Program Narrative: Complete the sections of the Program Narrative within the spaces provided.

- A. Participant Selection: Describe the selection process and include the objective, educationally-related criteria used to select students.
- B. Supplemental Services: Describe how the Title I resources will be used to supplement rather than supplant services provided to participating students.

Table: SUPPLEMENTAL SERVICES TO BE PROVIDED: Describe the Title I school program that is provided by highly qualified staff. Title I services should be based on and include that extend learning time, help provide an accelerated, high-quality curriculum, including applied, and minimize removing students from the regular classroom during regular school hours.

- Grade level – list the grades of the students being served (e.g., 5, 6, 7)
- Content area – provide the subject area(s) taught at each grade level (e.g., math, reading)
- Instructional Delivery System – describe when the instruction occurs (e.g., in class, before/after school)
- Improvement of Achievement – provide the means and strategies for helping students improve their achievement levels, based upon the needs assessment data (e.g., one-on-one tutoring, small group instruction, peer tutoring)

- C. Coordination of Programs: Describe how the targeted assistance program ensures that planning for students served under this part is incorporated into existing school planning.
- D. Coordination of Programs (continued): Indicate the Federal, State, and local services and programs that are coordinated and integrated with Title I Programs. For the services checked, describe how these programs are coordinate and integrated with Title I.

Describe how the targeted assistance program coordinates with and supports the regular education program.

- E. Professional Development: The description should include activities that are based upon the needs of all staff providing services to students.
- F. Parent Involvement: The process should coordinate and integrate strategies with other parent programs.
- G. Highly-Qualified Teachers: Provide a description of each teacher's qualifications; indicate the area(s) in which the teachers are highly qualified.

H. Assessment and Evaluation: Describe the assessment and evaluation of the targeted assistance program by completing the tables: ASSESSMENT PLAN and EVALUATION PLAN. Use the guidance provided with the grant application for completing the tables.

Budget Information There are three documents that must be completed and submitted.

Budget Summary and Payment Schedule: Use whole dollars and obtain appropriate signature on lower left line.

Detailed Budget Breakdown: Place function numbers in numerical order; show full- and part-time employees using percentages (%); show complete breakdown of employee benefits.

Equipment Inventory: Following the guidelines, submit an updated list of equipment purchased with Title I funds.

Assurances and Certification: Complete all forms with original authorized signatures. The authority signing the Cover Sheet should sign the appropriate forms where indicated.

Assessment and Evaluation Form

Outline the assessment and evaluation plans of the targeted assistance application by completing the attached form.

Assessment: List the multiple, educationally related, achievement criteria and the level of performance that will be used in assessing the needs of the Title I population toward which the Title I resources will be focused.

- **Achievement Criteria:** Benchmarks that indicate students are learning at required levels.
Examples: ~~SOCA~~ reading/math skills checklist; FY08 third grade ~~IUCE~~ reading scores; grades given by teachers at the ninth grade reading level; a valid and reliable local assessment instrument (give name of the instrument)
- **Performance Level:** This is the level at which the students performed.
Examples: 2 levels below grade level; 178 and below; D or below; 30%

Evaluation:

- **Grade:** List all grade levels to be served.
- **Subject Area:** List subjects to be emphasized in the Title I program.
Examples:

Grades 4, 5, and 6	Language Arts
Grades 6, 7, and 8	Reading
Grades 6, 7, and 8	Math
- **Learner Outcomes:** List for each grade level served.
- **Assessments:** Instruments will be utilized to determine the yearly progress of the Title I students toward achieving the learner outcomes. The learner outcomes and assessments must be identified for each grade level served and can be those designed for the Illinois Standards Achievement Test.

What student assessment will be used to determine the success of children served; to assist in diagnosis, teaching, and learning in the classroom; for progress monitoring; and to determine what revisions are needed for the improvement of programs?

- **Assessments Schedule:** Approximate times during the year when the assessment instruments will be administered.
- **Cut Scores:** Measurable performance levels that are acceptable for yearly grade progress that will be expected of Title I students.
- **Areas of Strengths and Weaknesses:** Those areas specific to the Title I program that have been identified through the evaluation data or other relevant information.

**DUE AT ISBE
JUNE 30, 2009**

ILLINOIS STATE BOARD OF EDUCATION
Educator and School Development Division
100 North First Street, E-310
Springfield, IL 62777-0001

**FY 2010
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
(ARRA) P.L. 111-5
TITLE I, PUBLIC LAW 107-110, NCLB
PART A, SECTION 1115: TARGETED ASSISTANCE PROGRAMS
NEGLECTED OR DELINQUENT CHILDREN**

INSTRUCTIONS: Complete four (4) copies. Submit the original and three (3) copies of the Title I - Neglected or Delinquent Program by close of business on **June 30, 2009**. Do not staple or bind these copies. Applications must be submitted in a typed format. Note: Before completing this application, please review **No Child Left Behind (NCLB) Act P.L. 107-110** and **ARRA American Recovery and Reinvestment Act of 2009 (ARRA) P.L. 111-5**.

SCHOOL DISTRICT CONTACT DATA

SCHOOL DISTRICT NAME AND NUMBER	DATE
ADDRESS (Street, City, State, Zip Code)	REGION, COUNTY, DISTRICT, TYPE CODE
	CONTACT PERSON
TELEPHONE (Include Area Code)	FAX (Include Area Code)
E-MAIL	COUNTY

INSTITUTION CONTACT DATA

INSTITUTION LEGAL NAME	TYPE OF INSTITUTION (mark only one) <input type="checkbox"/> Neglected Children <input type="checkbox"/> Delinquent Children
ADDRESS (Street, City, State, Zip Code)	INSTITUTION CONTACT PERSON
	COUNTY
TELEPHONE (Include Area Code)	FAX (Include Area Code)
E-MAIL	COUNTY

This application is submitted for a project planned to being on _____, 2009 and
end on _____, 2010.

FEDERAL FUNDING AS REQUESTED IN BUDGET SUMMARY \$ _____

Date

Original Signature of Superintendent/Authorized Official

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PART A, SECTION 1115: TARGETED ASSISTANCE PROGRAMS
NEGLECTED OR DELINQUENT CHILDREN
PROGRAM DESCRIPTION**

A. ABSTRACT

In a paragraph or two, provide a brief description of the program that is being administered through this grant. Address the following items in this section.

- The goals and objectives of the program as related to the State goals and objectives;
- The performance measures that will be used in assessing the effectiveness of the program;
- The name of the agency in which the Title I program will be provided;
- The number of youth to receive Title I services;
- The ages of the youngest and the oldest youth to receive services; and
- The average length of stay of the youth in the state or private (local) institution.

B. OUTCOMES

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NEGLECTED OR DELINQUENT CHILDREN
PROGRAM NARRATIVE**

A. PARTICIPANT SELECTION

Describe the selection process, including the objective, educationally-related criteria used to select eligible youth.

B. SUPPLEMENTAL SERVICES

Identify the Title I resources that will be used to supplement the existing services provided to the students in their educational programs. Indicate how these resources will not supplant existing services.

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NEGLECTED OR DELINQUENT CHILDREN
PROGRAM NARRATIVE

C. COORDINATION OF PROGRAMS

Describe how the targeted assistance program ensures that planning for students served under this part is incorporated into existing school planning.

D. COORDINATION OF PROGRAMS (continued)

Indicate (with an x) the Federal, State, and local services and programs coordinated and integrated with Title I programs.

- Violence prevention programs
- Nutrition programs
- Head Start
- Adult Education
- Career and technical education
- Job training

Describe how the marked programs will be integrated to increase program effectiveness and eliminate duplication.

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PROGRAM NARRATIVE**

D. COORDINATION OF PROGRAMS (continued)

Describe how the targeted assistance program coordinates with and supports the regular education program.

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NEGLECTED OR DELINQUENT CHILDREN
PROGRAM NARRATIVE**

E. PROFESSIONAL DEVELOPMENT

Outline the professional development opportunities available to all staff providing services to students in this program.

F. PARENT INVOLVEMENT

Identify the strategies to increase parental involvement in the program. The strategies should coordinate and integrate strategies with other parent programs.

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PROGRAM NARRATIVE**

G. HIGHLY-QUALIFIED TEACHERS

Provide a description of each teacher's qualifications, indicating the areas in which the teachers are highly-qualified.

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TITLE I, PART A, SECTION 115
TARGETED ASSISTANCE PROGRAMS
NEGLECTED OR DELINQUENT CHILDREN
ASSESSMENT AND EVALUATION FORM

ASSESSMENT PLAN

ACHIEVEMENT CRITERIA	PERFORMANCE LEVEL

EVALUATION PLAN

GRADE(S)	SUBJECT AREA	LEARNER OUTCOMES	ASSESSMENT INSTRUMENT(S)	ASSESSMENT SCHEDULE	CUT SCORE	AREAS OF STRENGTHS AND WEAKNESSES

Initial Budget Amendment # _____ Total of budget previously approved \$ _____
 Revised Initial Budget Multidistrict Application

ILLINOIS STATE BOARD OF EDUCATION
 Educator and School Development Division
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 Springfield, Illinois 62777-0001

FY 2010
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TITLE I, PART A, SECTION 1115
NEGLECTED OR DELINQUENT

Budget Summary and Payment Schedule
Use whole dollars only. OMIT DOLLAR SIGNS, COMMAS, AND DECIMAL PLACES, e.g., 2536

Neglected Children Delinquent Children

FISCAL YEAR 10	SOURCE OF FUNDS CODE 4852	REGION, COUNTY, DISTRICT, TYPE CODE	SUBMISSION DATE / /
DISTRICT NAME AND NUMBER			
CONTACT PERSON		TELEPHONE NUMBER (Include Area Code)	
E-MAIL ADDRESS		FAX NUMBER (Include Area Code)	

ISBE USE ONLY	PROGRAM APPROVAL DATE AND INITIALS	
	TOTAL FUNDS	
	CARRYOVER FUNDS	
	CURRENT FUNDS	
	BEGIN DATE	END DATE

LINE	FUNCTION NUMBER (1)	EXPENDITURE ACCOUNT (2)	SALARIES (3)	EMPLOYEE BENEFITS (4)	PURCHASED SERVICES (5)	SUPPLIES AND MATERIALS (6)	CAPITAL OUTLAY** (7)	OTHER OBJECTS (8)	NON-CAPITALIZED EQUIPMENT** (9)	TOTAL (11)	PAYMENT SCHEDULE
			(Obj. 100s)	(Obj. 200s)	(Obj. 300s)	(Obj. 400s)	(Obj. 500s)	(Obj. 600s)	(Obj. 700s)		
1	1000	Instruction									July-August
2	2110	Attendance & Social Work Services									
3	2120	Guidance Services									September
4	2130	Health Services									
5	2140	Psychological Services									October
6	2150	Speech Pathology & Audiology Services									
7	2210	Improvement of Instruction Services									November
8	2220	Educational Media Services									
9	2230	Assessment & Testing									December
10	2300	General Administration									
11	2400	School Administration									January
13	2520	Fiscal Services									
15	2540	Operation & Maintenance of Plant Services									February
16	2550	Pupil Transportation Services									
17	2560	Food Services									March
18	2570	Internal Services*									
19	2610	Direction of Central Support Services									April
20	2620	Planning, Research, Development & Evaluation Services									
21	2630	Information Services									May
22	2640	Staff Services*									
23	2660	Data Processing Services*									June
24	2900	Other Support Services									
25	3000	Community Services									July-August
26	4000	Payments to Other Districts & Government Units									
27	5000	Debt Services									TOTAL
28	Total Direct Costs										
29	Approved Indirect Costs x _____%										\$ _____
30	TOTAL BUDGET										

* If expenditures are shown, the indirect costs rate cannot be used.

** Not applicable to all grants, and in no instances can Capital Outlay or Facilities Acquisition & Construction Services be included in the indirect costs application.

_____ Date

_____ Original Signature of Superintendent or Administrator

_____ Date

_____ Original Signature of ISBE Division Administrator,
Educator and School Development

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PART A, SECTION 1115: TARGETED ASSISTANCE PROGRAMS
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DETAILED BUDGET BREAKDOWN

A. EXPENDITURE ACCOUNTS

Itemize and explain each expenditure amount that appears on the Budget Summary. Provide a complete breakdown of eligible employee benefits. Break out the specific amount for the 10½% contribution to teacher retirement. If 10½% teacher retirement is not budgeted, indicate how it will be paid. Use additional pages as needed.

FUNCTION NUMBER	OBJECT NUMBER	ITEMIZATION	TOTAL

FY 2010 AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA) P.L. 111-5
TITLE I, PART A, SECTION 1115, NEGLECTED OR DELINQUENT CHILDREN
DETAILED BUDGET BREAKDOWN (continued)

B. EQUIPMENT INVENTORY

Include a complete listing of all equipment currently in the district with an initial cost of \$500 or greater which was purchased with Title I, Part A, Neglected or Delinquent funds.

ITEM DESCRIPTION	MODEL NUMBER	SERIAL NUMBER	DATE OF PURCHASE	ACQUISITION COST	LOCATION

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 DETAILED BUDGET BREAKDOWN (continued)**

C. CAPITAL OUTLAY (if any)

Capital outlay is defined as nonexpendable personal property that has a life expectancy of at least one year and has an acquisition cost of \$500 or more. Identify all items of equipment included in the Title I budget and answer the following 6 items. (Attach additional pages as needed if the equipment to be purchased is for a different purpose.)

1. Describe how the equipment supports the instruction provided with Title I funds.

2. What is the cost, description, and location of each item to be purchased?

3. Describe the need for such equipment in relation to other equipment previously acquired with Title I funds.

4. If the equipment is not to be used solely for Title I purposes, indicate other uses and amount of proration.

5. Describe the in-service training associated with equipment purchases.

6. Submit a copy of any multi-year contracts and/or any proposed agreements being considered, including the maintenance agreement.

D. PROGRAM STAFF (1)	STAFF INCLUDED IN TITLE I BUDGET				TOTAL SALARIES (6)
	REGULAR TERM		SUMMER TERM		
	TOTAL NUMBER OF PERSONNEL (2)	FULL-TIME EQUIVALENT (3)	TOTAL NUMBER OF PERSONNEL (4)	FULL-TIME EQUIVALENT (5)	
1. Teaching - Preschool					
2. Teaching - Kindergarten					
3. Teaching - Elementary					
4. Teaching - Secondary					
5. Teacher Aide					
6. Supervision					
7. Direction and Management (Admin.)					
8. Clerical					
9. Other (specify)					
10. Other (specify)					*

* This total should agree with col. 3, line 28 on Budget Summary and Payment Schedule.
 ISBE 20-20E ARRA Title I, Part A Neglected or Delinquent (5/09)

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PROGRAM-SPECIFIC TERMS OF THE GRANT

The Applicant hereby assures the Illinois State Board of Education that:

- A. The control of funds provided under this Title, and title to property derived therefrom, shall be in a public agency for the uses and purposes provided in this Title, and that a public agency will administer such property and funds and apply them only for the purposes for which they are granted.
- B. The applicant will make an annual report and such other reports to the State educational agency in such form, and containing such information, as may be reasonably necessary to enable the State educational agency to perform its duties under this Title including information relating to the educational achievement of students participating in programs carried out under this Title and will keep such records and afford such access thereto as the State educational agency may find necessary to assure the correctness and verification of such reports.
- C. The Title I activities conducted under this project during the regular school year in conjunction with regular school activities will be carried out in accordance with the applicable current court order of desegregation plan filed with the United State Department of Education (including modifications filed on form HEW 551B), and all other Title I activities will be carried out in nondiscriminatory basis in accordance with the Regulations of the Department (45CFR 80.3). Title I activities will be carried out in accordance with Section 504 of the Rehabilitation Act of 1973, Title IX of the Educational Amendments of 1972 (HEW 639A), and the Right to Privacy of Student Records (Federal Regulations, November, 1975).
- D. Title I salaries are comparable to salaries of other staff.
- E. All Title I personnel are properly qualified and certified in accordance with the regulations of The School Code of Illinois.
- F. Title I staff have reviewed this application.
- G. Project school teachers and parents have participated in program planning and evaluation pursuant to P.L. 103-382, Section 1118.
- H. A complaint resolution procedure is on file.
- I. The applicant has (1) a district-wide salary schedule, (2) a policy of equivalence in assignment of personnel, and (3) a policy to ensure equivalence in curriculum materials and supplies.

The applicant designated below hereby applied for a grant of Federal funds to provide instructional activities and services to meet the special educational needs of educationally deprived children as set forth in this application.

WE HEREBY CERTIFY that, to the best of our knowledge, the information contained in this application is correct and documentation of information contained in this application is on file in this school district; the agency named below has authorized us, as its representative, to file this application; and such action is recorded in the minutes of the agency's meetings.

Applicant (Legal Name of Agency or Board) _____
has reviewed this project application on _____ and has approved it for filing.

Name of Institution Administrator *Original* Signature of Superintendent/Authorized Official _____
Date

MUST BE SIGNED

STEVENS AMENDMENT ASSURANCE

The applicant will comply with the requirements of Section 511 of P.L. 101-517 (the "Stevens Amendment") regarding public notification of federal and nongovernmental funding used in the project or program.

A copy of the amendment is shown below.

DATE	SIGNATURE OF AUTHORIZED OFFICIAL	TITLE
P.L. 101-517	LAWS OF 101st CONG.—2nd SESS.	Nov. 5

for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$2,500 from the funds available for "Salaries and expenses, Federal Mediation and Conciliation Service"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$2,500 from funds available for "Salaries and expenses, National Mediation Board".

SEC. 511. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to State and local governments, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

SEC. 512. Such sums as may be necessary for fiscal year 1991 pay raises for programs funded by this Act shall be absorbed within the levels appropriated in this Act.

SEC. 513. None of the funds appropriated under this Act shall be used to carry out any program of distributing sterile needles for the hypodermic injection of any illegal drug unless the President of the United States certifies that such programs are effective in stopping the spread of HIV and do not encourage the use of illegal drugs.

SEC. 514. (a) Notwithstanding any other provision of this Act, funds appropriated for salaries and expenses of the Department of Labor are hereby reduced by \$9,000,000; salaries and expenses of the Department of Education are hereby reduced by \$5,000,000; and salaries and expenses of the Department of Health and Human Services are hereby reduced by \$50,000,000: *Provided,* That no trust fund limitation shall be reduced with the exception of the Social Security Administration, Limitation on Administrative Expenses, which is hereby reduced by \$57,000,000.

(b) Notwithstanding any other provision of this Act, funds appropriated or otherwise made available which are not mandated by law for programs, projects or activities funded by this Act shall be reduced by 2.41 per centum.

SEC. 515. For purposes of section 202 of the Balanced Budget and Emergency Deficit Control Reaffirmation Act of 1987, transfers, if any, in the following accounts are a necessary (but secondary) result of significant policy changes: State Unemployment Insurance and Employment Service Operations; Low Income Home Energy Assistance; Interim Assistance to States for Legalization; and Human Development Services.

CERTIFICATIONS AND ASSURANCES, AND STANDARD TERMS OF THE GRANT

(Insert Applicant's Name Here)

The applicant/award recipient (hereinafter the term applicant includes award recipient as the context requires), hereby certifies and assures the Illinois State Board of Education that:

1. Applicant is a(n): *(Check one)*

Individual Corporation Partnership Unincorporated association Government entity

Social Security Account Number, Federal Employer Identification Number or Region/County/District /School Code, as applicable: _____

2. The applicant has the necessary legal authority to apply for and to receive the proposed award. The filing of this application has been authorized by the governing body of the applicant, and the undersigned representative has been duly authorized to file this application for and in behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application and any award in relation thereto.

DEFINITIONS

“Applicant” means an individual, entity or entities for which grant funds may be available and has made application to the Illinois State Board of Education for an award of such grant funds.

“Award recipient” means the person, entity or entities that are to receive or have received grant funds through an award from the Illinois State Board of Education. The terms “grantee” and “award recipient” may be used interchangeably.

“Expenditure through dates” are from the project beginning date through September 30, December 31, March 31 and June 30 of each fiscal year and the project ending date.

“Grant” means the award of funds, which are to be expended in accordance with the Grant Agreement for a particular project. The terms “grant”, “award” and “project” may be used interchangeably.

“Project” means the activities to be performed for which grant funds are being sought by the applicant.

The capitalized word “Term”, means the period of time from the project beginning date through the project ending date.

PROJECT

3. The project proposed in the application, and as negotiated and finalized by the parties in the Grant Agreement, is hereinafter referred to as the “project”. In planning the project there has been, and in establishing and carrying out the project, there will be (to the extent applicable to the project), participation of persons broadly representative of the cultural and educational resources of the area to be served, including persons representative of the interests of potential beneficiaries.
4. Applicants may be asked to clarify certain aspects of their proposals/applications prior to final agreement on the terms of the project.
5. All funds provided shall be used solely for the purposes stated in the approved proposal/application.
6. The project will be administered by or under the supervision of the applicant and in accordance with the laws and regulations applicable to the grant. The applicant will be responsible for and obtain all necessary permits, licenses or consent forms as may be required to implement the project.

SUBCONTRACTING

7. No subcontracting is allowed under this project, except as set forth in the Grant Agreement.

If subcontracting is allowed, then all project responsibilities are to be retained by the applicant to ensure compliance with the terms and conditions of the grant. All subcontracting must be documented and must have the prior written approval of the State Superintendent of Education. Approval of subcontracts shall be subject to the same criteria as are applied to the original proposal/application. The following information is required if any subcontracting is to be utilized:

- Name(s) and address(es) of subcontractor(s);
- Need and purpose for subcontracting;

- Measurable and time-specific services to be provided;
- Association costs, i.e., amounts to be paid under subcontracts;
- Projected number of participants to be served.

The applicant may not assign, convey or transfer its rights to the grant award without the prior written consent of the State Board of Education.

FINANCIAL TERMS

8. Payment under this grant is subject to passage of a sufficient appropriation by the General Assembly for the program. Obligations of the State Board of Education will cease immediately without further obligation should the agency fail to receive sufficient funds (i.e. state, federal or other) for this program.
9. An applicant must not obligate funds prior to the start date of the project set forth in the final Grant Agreement. The project's start date cannot precede the start of the fiscal year for which the funds are appropriated.

All project activities must be completed between the project beginning date and the ending date (the "Term"). Liquidation of all obligations, including the current year's audit fee, should be completed no later than 90 calendar days after the project ending date.

10. The applicant understands that payment for approved services and expenses will be made on a cash needs basis, and that payment will be made in accordance with applicable statutes, regulations and standards after an application for payment is submitted to the State Board of Education. Vouchers for payment will be submitted to the Office of the Comptroller according to the payment schedule attached to the final Grant Agreement. The payment schedule shall be based on the projected date of expenditures. Payments will be withheld from scheduled amounts if expenditure reports show excess cash on hand.
11. An approved budget may be amended by completing the Budget Summary form to show the new amounts required and attaching an explanation for the changes. An amendment to the Grant Agreement must be entered into whenever any individual cell changes by more than \$1,000 or 20 percent, whichever is larger. An amendment to the Grant Agreement must also be entered into whenever an award recipient proposes to use funds for allowable expenditures not identified in the currently approved budget, if the scope of the project is expected to change, or if the overall grant award must be increased.
12. Obligation of funds for items or services based on amendments cannot be encumbered prior to the date of receipt at ISBE of a substantially approvable budget amendment provided the scope/intent of the approved project has not changed. If the scope/intent of a project changes based on an amendment, programmatic approval must be obtained prior to the obligation of funds based on the amendment. ISBE shall be the final determiner of whether an amendment changes the scope/intent of a project. The begin date of the project cannot precede the beginning of the fiscal year for which the funds are appropriated. Requests for budget amendments must be received by the State Board of Education no later than 30 calendar days prior to the project ending date for which the amendment is being sought.
13. Funds granted for the operation of this project must be used exclusively for the purposes stated in the approved proposal/application and must be expended in accordance with the approved budget and the award recipient's policies and procedures related to such expenditures. Funds may only be expended or obligated for activities occurring during the Term.
 - A. State funded grants: All grant funds and earned interest shall be subject to the Illinois Grant Funds Recovery Act (30 ILCS 705). Interest earned on State funded grant programs and grant funds not expended or obligated by the end of the Term, as well as interest earned after the Term has expired, must be returned to the Illinois State Board of Education within 45 days following the end of the Term.
 - B. Federally funded grants: Interest earned in excess of \$100 per year must be returned to the Illinois State Board of Education, with checks payable to the federal agency issuing the grant (e.g., U.S. Department of Education, U.S. Department of Agriculture).

For-Profit award recipients shall not utilize grant funds in any manner for normal operating expenses or to generate a profit. The applicant certifies that notwithstanding any other provision of the application, proposal or Grant Agreement, grant funds shall not be used and will not be used to provide religious instruction, conduct worship services, or engage in any form of proselytization.

14. Financial Reports: Quarterly expenditure reports are required of all award recipients receiving funds, unless otherwise specified in the program specific terms or the request for proposals. The expenditure through dates to be used in reporting expenditures and obligations are from the project beginning date through September 30, December 31, March 31 and June 30 of each fiscal year and the project ending date.

If you have an established IWAS account with the Illinois State Board of Education, you will be electronically notified when expenditure reports are due and you must submit expenditure reports electronically by the due date. If you are not enrolled in IWAS, expenditure report forms will be mailed to the award recipient at least thirty days before they are due to the Illinois State Board of Education. Expenditure reports are due 30 days after the expenditure through date. Failure to file the required reports within the timelines will result in a breach of the Grant Agreement. Upon any such breach, the State Board of Education may,

without limitation, withhold current and subsequent years' project funding until the reports are properly filed.

All grant funds must be spent or obligated and all activities must be completed prior to the project ending date. Each award recipient must submit a completion report showing the obligations and the expenditures for the project no later than 30 calendar days after the project ending date.

If a completion report was filed through the project ending date and had no outstanding obligations, the completion report will be the award recipient's final expenditure report. Failure to submit this completion/final expenditure report will result in current and subsequent years' project funding being withheld until the report is received. In cases where final expenditures are less than total disbursements, the overpayment must be returned to the State Board of Education within 45 calendar days of the project ending date for all state grants or federal grants that do not expressly allow carryover funds. Failure to return the funds will result in a breach of the Grant Agreement. Upon any such breach, the State Board of Education may, without limitation, withhold current and subsequent years' project funding until the overpayment is returned.

If a completion report was filed with outstanding obligations, then a final expenditure report showing total project expenditures (with all prior obligations paid) must be submitted no later than 90 calendar days after the project ending date. Failure to submit the final expenditure report will result in current and subsequent years' project funding being withheld until the report is received. In cases where final expenditures are less than total disbursements, the overpayment must be returned to the State Board of Education within 45 calendar days from the date of first notice of the amount due for all state grants or federal grants that do not expressly allow carryover funds. Failure to return the funds will result in a breach of the Grant Agreement. Upon any such breach, the State Board of Education may, without limitation, withhold current and subsequent years' project funding until the overpayment is returned.

15. The award recipient will maintain records on project and fiscal activities related to each award for a period of three (3) years following the project ending date either for a state-funded or federally funded project. Such records shall include a fiscal accounting for all monies in accordance with generally accepted governmental accounting principles. If there are outstanding audit exceptions, records will be retained on file until such exceptions are closed out to the satisfaction of the State Board of Education.
16. The State Board of Education and other governmental entities with program monitoring authority shall, during the Term and for a period of three (3) years thereafter (or until no outstanding audit exceptions remain, whichever is later), have the right at any time to conduct on-site or off-site inspections of the award recipient's records and project operations for auditing and monitoring purposes. The award recipient shall, during the Term and for a period of three (3) years thereafter (or until no outstanding audit exceptions remain, whichever is later) and upon the request of the State Board of Education, provide the State Board of Education with information and documentation regarding the award recipient's progress or performance with respect to the administration and operation of the project.

NO BINDING OBLIGATION

17. The applicant acknowledges and agrees that the selection of its proposal for funding, or approval to fund an application, shall not be deemed to be a binding obligation of the State Board of Education until such time as a final Grant Agreement is entered into between the applicant and the State Board of Education. Prior to the execution of a final Grant Agreement, the State Board of Education may withdraw its award of funding to the applicant at any time, for any reason.

COPYRIGHT

18. All rights, including copyright to data, information and/or other materials developed pursuant to an award, are retained by the State Board of Education, unless otherwise agreed in writing by the State Board of Education. All such work products produced by the award recipient through work pursuant to the award shall be made available to the State Board of Education upon request.

DEFAULT AND TERMINATION

19. The award recipient will be in default of the grant award and the corresponding Grant Agreement if it breaches any representation or warranty made in the Grant Agreement, the Program Specific Terms or in these Certifications and Assurances, and Standard Terms of the Grant, or fails to observe or perform any covenant, agreement, obligation, duty or provision set forth in the Grant Agreement, the Program Specific Terms or in these Certifications and Assurances, and Standard Terms of the Grant. Upon default by the award recipient and written notification by the State Board of Education, the award recipient will have ten days in which to cure the default to the satisfaction of the State Board of Education. If the default is not cured to the satisfaction of the State Board of Education, the State Board of Education shall thereafter have full right and authority to terminate the Grant Agreement, and/or seek such other remedy that may be available at law or in equity. Upon termination of the Grant Agreement, the award recipient will cease all use of grant funds, shall cancel all cancelable obligations relating to the project, and shall return all unexpended grant funds to the State Board of Education within 45 days of termination.

INDEMNIFICATION

20. To the fullest extent permitted by law, the award recipient shall indemnify, defend and hold harmless the State of Illinois, the State Board of Education, and their respective members, officers, agents and employees against all claims, demands, suits, liabilities, injuries (personal or bodily), property damage, causes of action, losses, costs, expenses, damages or penalties, including, without

limitation, reasonable defense costs, reasonable legal fees, and the reasonable value of time spent by the Attorney General's Office, arising or resulting from, or occasioned by or in connection with (i) any bodily injury or property damage resulting or arising from any act or omission to act (whether negligent, willful, wrongful or otherwise) by the award recipient, its subcontractors, subgrantees, volunteers, anyone directly or indirectly employed by them or anyone for whose acts they may be liable; (ii) failure by the award recipient or its subcontractors, subgrantees, or volunteers to comply with any laws applicable to the performance of the grant; (iii) any breach of the Grant Agreement, including, without limitation, any representation or warranty provided by the award recipient herein; (iv) any infringement of any copyright, trademark, patent or other intellectual property right; or (v) the alleged unconstitutionality or invalidity of the Grant Agreement. Neither the award recipient nor its employees or subcontractors shall be considered agents or employees of the State Board of Education or of the State of Illinois.

If the applicant is a government unit only, it is understood and agreed that neither the applicant nor the State Board of Education shall be liable to each other for any negligent or wrongful acts, either of commission or omission, unless such liability is imposed by law.

GENERAL CERTIFICATION AND ASSURANCES

21. The applicant will obey all applicable state and federal laws, regulations, and executive orders, including without limitation: those regarding the confidentiality of student records, such as the Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. 1232g) and the Illinois School Student Records Act (ISSRA) (105 ILCS 10/1 et seq.); those prohibiting discrimination on the basis of race, color, national origin, sex, age, or handicap, such as Title IX of the Amendments of 1972 (20 U.S.C. 1681 et seq.), the Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), the Individuals with Disabilities Education Act (20 U.S.C. 1400 et seq.), the Age Discrimination in Employment Act of 1967 (29 U.S.C. 621 et seq.), Titles VI and VII of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq., 2000e et seq.), the Public Works Employment Discrimination Act (775 ILCS 10/0.01 et seq.), and the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.); and the Illinois School Code (105 ILCS 5/1-1 et seq.). Further, no award recipient shall deny access to the program funded under the grant to students who lack documentation of their immigration status or legal presence in the United States (*Plyler v. Doe*, 457 U.S. 202, 102 S.Ct. 2382 (1982)).
22. The applicant is not barred from entering into this contract by Sections 33E-3 and 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3, 33E-4). Sections 33E-3 and 33E-4 prohibit the receipt of a state contract by a contractor who has been convicted of bid-rigging or bid-rotating.
23. If the applicant is an individual, the applicant is not in default on an educational loan as provided in 5 ILCS 385/3.
24. The applicant is not prohibited from receiving a grant award from the State of Illinois because it pays dues or fees on behalf of its employees or agents or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1).
25. The applicant certifies it has informed the State Superintendent of Education in writing if any employee of the applicant was formerly employed by the State Board of Education and has received an early retirement incentive under 40 ILCS 5/14-108.3 or 40 ILCS 5/16-133.3 (Illinois Pension Code). The applicant acknowledges and agrees that if such early retirement incentive was received, the Grant Agreement is not valid unless the official executing the agreement has made the appropriate filing with the Auditor General prior to execution.
26. The applicant shall notify the State Superintendent of Education if the applicant solicits or intends to solicit for employment any of the State Board of Education's employees during any part of the application process or during the Term of the Grant Agreement.
27. If applicable, the applicant shall be required to observe and comply with provisions of the Prevailing Wage Act, 820 ILCS 130/1 et seq., which applies to the wages of laborers, mechanics and other workers employed in any public works.
28. The applicant certifies that it is (a) current as to the filing and payment of any applicable federal, state and/or local taxes; and (b) not delinquent in its payment of moneys owed to any federal, state or local unit of government.
29. The applicant represents and warrants that all of the certifications and assurances set forth herein and attached hereto are and shall remain true and correct through the Term of the grant. During the Term of the grant, the award recipient shall provide the Illinois State Board of Education with notice of any change in circumstances affecting the certifications and assurances within ten (10) days of the change. Failure to maintain all certifications and assurances or provide the required notice will result in the Illinois State Board of Education withholding future project funding until the award recipient provides documentation evidencing that the award recipient has returned to compliance with this provision, as determined by the State Board of Education.
30. Any applicant not subject to Section 10-21.9 of the School Code certifies that a fingerprint-based criminal history records check through the Illinois State Police and a check of the Statewide Sex Offender Database will be performed for all of its i) employees, ii) volunteers, and iii) all employees of persons or firms holding contracts with the applicant, who have direct contact with children receiving services under the grant; and such applicant shall not i) employ individuals, ii) allow individuals to volunteer, or iii) enter into a contract with a person or firm who employs individuals, who will have direct contact with children receiving services under the grant who have been convicted of any offense identified in subsection (c) of Section 10-21.9 of the School Code (105 ILCS 5/10-

- 21.9(c)) or have been found to be the perpetrator of sexual or physical abuse of any minor under 18 years of age pursuant to proceedings under Article II of the Juvenile Court Act of 1987 (705 ILCS 405/2-1 et seq.).
31. Any applicant that does not have a calculated indirect cost rate from the Illinois State Board of Education or does not utilize their restricted indirect cost rate as calculated by the Illinois State Board of Education certifies that it has developed a written Cost Allocation Plan (CAP) that: i) will be utilized in identifying the accumulation and distribution of any allowable administrative costs in the grant program; ii) identifies the allocation methods used for distributing the costs among programs; iii) requires support through records and documentation showing personnel time and effort information, and formal accounting records according to generally accepted governmental accounting principles; iv) requires the propriety of the charges to be substantiated; and v) shall be made available, along with any records or supporting documentation for allowable administrative costs, for review upon ISBE's request.
32. The applicants participating in a joint application hereby certify that they are individually and jointly responsible to the Illinois State Board of Education and to the administrative and fiscal agent under the grant. An applicant that is a party to the joint application, a legal entity, or a Regional Office of Education may serve as the administrative and/or fiscal agent under the grant.
33. The entity acting as the fiscal agent certifies that it is responsible to the applicant or, in the case of a joint application, to each applicant that is a party to the application; it is the agent designated and responsible for reports and for receiving and administering funds; and it will:
- i) Obtain fully executed Certifications and Assurances, and Terms of the Grant forms from each entity or individual participating in the grant and return the forms to ISBE prior to award of the grant;
 - ii) Maintain separate accounts and ledgers for the project;
 - iii) Provide a proper accounting of all revenue from ISBE for the project;
 - iv) Properly post all expenditures made on behalf of the project;
 - v) Be responsible for the accountability, documentation and cash management of the project, the approval and payment of all expenses, obligations, and contracts and hiring of personnel on behalf of the project in accordance with the Grant Agreement;
 - vi) Disburse all funds to joint applicants based on information (payment schedules) from joint applicants showing anticipated cash needs in each month of operation (The composite payment schedule submitted to ISBE should reflect monthly cash needs for the fiscal agent and the joint applicants.);
 - vii) Require joint applicants to report expenditures to the fiscal agent based on actual expenditures/obligation data and documentation. Reports submitted to ISBE should reflect actual expenditure/obligations for the fiscal agent and the data obtained from the joint applicants on actual expenditures/obligations that occur within project beginning and ending dates;
 - viii) Be accountable for interest income earned on excess cash on hand by all parties to the grant and return applicable interest earned on advances to the Illinois State Board of Education;
 - ix) Make financial records available to outside auditors and Illinois State Board of Education personnel, as requested by the Illinois State Board of Education;
 - x) Have a recovery process in place with all joint applicants for collection of any funds to be returned to ISBE; and
 - xi) Be responsible for the payment of any funds that are to be returned to the Illinois State Board of Education.
34. The applicant hereby assures that when purchasing core instructional print materials published after July 19, 2006, the applicant will ensure that all such purchases are made from publishers who comply with the requirements of 105 ILCS 5/28-21 which instructs the publisher to send (at no additional cost) to the National Instructional Materials Center (NIMAC) electronic files containing the contents of the print instructional materials using the NIMAS standard, on or before delivery of the print instructional materials. This does not preclude the district from purchasing or obtaining accessible materials directly from the publisher. For further information, see 105 ILCS 5/28-21 at <http://www.ilga.gov/legislation/ilcs/ilcs4.asp?DocName=010500050HArt%2E+28&ActID=1005&ChapAct=105%26nbsp%3BILCS%26nbsp%3B5%>.

DRUG-FREE WORKPLACE CERTIFICATION

35. This certification is required by the Drug Free Workplace Act (30 ILCS 580/1). The Drug Free Workplace Act, effective January 1, 1992, requires that no grantee or contractor shall receive a grant or be considered for the purposes of being awarded a contract for the procurement of any property or services from the State unless that grantee or contractor has certified to the State that the grantee or contractor will provide a drug-free workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of contract or grant payments, termination of the contract or grant and debarment of contracting or grant opportunities with the State of Illinois for at least one (1) year but not more than five (5) years.

For the purpose of this certification, "grantee" or "contractor" means a corporation, partnership, or other entity with twenty-five (25) or more employees at the time of issuing the grant, or a department, division, or other unit thereof, directly responsible for the specific performance under a contract or grant of \$5,000 or more from the State.

The applicant certifies and agrees that it will provide a drug-free workplace by:

(a) Publishing a statement:

- (1) Notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance, including cannabis, is prohibited in the grantee's or contractor's workplace.
- (2) Specifying the actions that will be taken against employees for violations of such prohibition.
- (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will
 - (A) abide by the terms of the statement; and
 - (B) notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.

(b) Establishing a drug-free awareness program to inform employees about:

- (1) the dangers of drug abuse in the workplace;
- (2) the grantee's or contractor's policy of maintaining a drug-free workplace;
- (3) any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) the penalties that may be imposed upon an employee for drug violations.

(c) Providing a copy of the statement required by subsection (a) to each employee engaged in the performance of the contract or grant and posting the statement in a prominent place in the workplace.

(d) Notifying the contracting or granting agency with ten (10) days after receiving notice under part (B) of paragraph (3) of subsection (a) above from an employee or otherwise receiving actual notice of such conviction.

(e) Imposing a sanction on, or requiring the satisfactory participation in a drug abuse assistance or rehabilitation program by any employee who is so convicted, as required by Section 5 of the Drug Free Workplace Act.

(f) Assisting employees in selecting a course of action in the event drug counseling, treatment, and rehabilitation are required and indicating that a trained referral team is in place.

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of the Drug Free Workplace Act.

The undersigned affirms, under penalties of perjury, that he or she is authorized to execute this Certifications and Assurances, and Standard Terms of the Grant on behalf of the applicant.

(Name of Applicant)

By: _____
Date Signature of Authorized Official Title

ILLINOIS STATE BOARD OF EDUCATION

100 North First Street
Springfield, IL 62777-0001

**Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion
Lower Tier Covered Transactions**

This certification is required by the regulations implementing Executive Orders 12549 and 12689, Debarment and Suspension, 7 CFR 3017 Subpart C Responsibilities of Participants Regarding Transactions. The regulations were published as Part IV of the January 30, 1989 Federal Register (pages 4722-4733) and Part II of the November 26, 2003 Federal Register (pages 66533-66646). Copies of the regulations may be obtained by contacting the Illinois State Board of Education.

BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS BELOW.

CERTIFICATION

The prospective lower tier participant certifies, by submission of this Certification, that:

- (1) Neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency;
- (2) It will provide immediate written notice to whom this Certification is submitted if at any time the prospective lower tier participant learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances;
- (3) It shall not knowingly enter any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated;
- (4) It will include the clause titled *Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion—Lower Tier Covered Transactions*, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions;
- (5) The certifications herein are a material representation of fact upon which reliance was placed when this transaction was entered into; and
- (6) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this Certification.

Organization Name

PR/Award Number of Project Name

Name and Title of Authorized Representative

Signature

Date

Instructions for Certification

1. By signing and submitting this Certification, the prospective lower tier participant is providing the certifications set out herein.
2. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
3. Except for transactions authorized under paragraph 3 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue all available remedies, including suspension and/or debarment.
4. The terms *covered transaction*, *debarred*, *suspended*, *ineligible*, *lower tier covered transaction*, *participant*, *person*, *primary covered transaction*, *principal*, *proposal*, and *voluntarily excluded*, as used herein, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549 and Executive Order 12689. You may contact the person to which this Certification is submitted for assistance in obtaining a copy of those regulations.
5. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the "GSA Excluded Parties List System" at <http://epls.arnet.gov/>.
6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

ILLINOIS STATE BOARD OF EDUCATION
100 North First Street
Springfield, IL 62777-0001

CERTIFICATE REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit ISBE 85-37, "Disclosure of Lobbying Activities," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Organization Name

PR/Award (or Application) Number or Project Name

Name and Title of Authorized Representative

Signature

Date

ILLINOIS STATE BOARD OF EDUCATION

100 North First Street
Springfield, IL 62777-0001

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352. (See reverse for public burden disclosure.)

1. TYPE OF FEDERAL ACTION <input type="checkbox"/> a. Contract <input type="checkbox"/> b. Grant <input type="checkbox"/> c. Cooperative agreement <input type="checkbox"/> d. Loan <input type="checkbox"/> e. Loan guarantee <input type="checkbox"/> f. Loan insurance	2. STATUS OF FEDERAL ACTION <input type="checkbox"/> a. Bid/offer/application <input type="checkbox"/> b. Initial award <input type="checkbox"/> c. Post-award	3. REPORT TYPE <input type="checkbox"/> a. Initial filing <input type="checkbox"/> b. Material change For material change only: _____ Year _____ Quarter _____ Date of last report
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4. NAME AND ADDRESS OF REPORTING ENTITY <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee, Tier _____, if known _____ Congressional District, if known	5. IF REPORTING ENTITY IN NO. 4 IS SUBAWARDEE, ENTER NAME AND ADDRESS OF PRIME _____ Congressional District, if known
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6. FEDERAL DEPARTMENT/AGENCY	7. FEDERAL PROGRAM NAME/DESCRIPTION _____ CFDA Number, if applicable
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8. FEDERAL ACTION NUMBER, if known	9. AWARD AMOUNT, if known \$ _____
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10a. NAME AND ADDRESS OF LOBBYING ENTITY (If individual, last name, first name, MI)	b. INDIVIDUALS PERFORMING SERVICES (Including address if different from No. 10a) (last name, first name, MI)
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(Attach Continuation Sheet(s) ISBE 85-37A, if necessary)

11. AMOUNT OF PAYMENT (check all that apply) \$ _____ <input type="checkbox"/> Actual <input type="checkbox"/> Planned	13. TYPE OF PAYMENT (check all that apply) <input type="checkbox"/> a. Retainer <input type="checkbox"/> b. One-time fee <input type="checkbox"/> c. Commission <input type="checkbox"/> d. Contingent fee <input type="checkbox"/> e. Deferred <input type="checkbox"/> f. Other, specify _____
12. FORM OF PAYMENT (check all that apply) <input type="checkbox"/> a. Cash <input type="checkbox"/> b. In-kind; specify: nature _____ value _____	

14. BRIEF DESCRIPTION OF SERVICES PERFORMED OR TO BE PERFORMED AND DATE(S) OF SERVICE, INCLUDING OFFICER(S), EMPLOYEE(S), OR MEMBER(S) CONTACTED, FOR PAYMENT INDICATED IN ITEM 11.

15. YES NO CONTINUATION SHEET(S), ISBE 85-37A ATTACHED

16. Information requested through this form is authorized by title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	SIGNATURE	
	PRINT NAME OR TYPE	
	TITLE	
	TELEPHONE NUMBER	DATE

INSTRUCTIONS FOR COMPLETION OF ISBE 85-37, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the ISBE 85-37A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001".
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial(MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not an ISBE 85-37A Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, D.C. 20503.

ILLINOIS STATE BOARD OF EDUCATION
100 North First Street
Springfield, Illinois 62777-0001

CONTINUATION SHEET
DISCLOSURE OF LOBBYING ACTIVITIES

REPORTING ENTITY	Page _____ of _____
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**ILLINOIS STATE BOARD OF EDUCATION
100 North First Street
Springfield, Illinois 62777-0001**

**Notice to All Applicants Regarding
Section 427 of the General Education Provisions Act (GEPA)**

Section 427 of GEPA affects all school districts submitting proposals under this program. This section requires each applicant to include in its proposal a description of the steps the applicant proposes to take to ensure equitable access to, and participation in, its federally assisted program for students, teachers and other program beneficiaries with special needs.

This provision allows applicants discretion in developing the required description. The statute highlights six types of barriers that can impede equitable access or participation: gender, race, national origin, color, disability, or age. The applicant should determine whether these or other barriers may prevent students, teachers, etc., from such access to, or participation in, the federally funded project or activity. The description of steps to be taken to overcome these barriers need not be lengthy; the school district may provide a clear and succinct description of how it plans to address those barriers that are applicable to its circumstances. In addition, the information may be provided in a single narration, or, if appropriate, may be discussed in connection with related topics in the application.

Section 427 is not intended to duplicate the requirements of the civil rights statutes, but rather to ensure that, in designing their programs, applicants for federal funds address equity concerns that may affect the ability of certain beneficiaries to fully participate in the program and to achieve high standards. Consistent with Title I, Part A, Section 1115 requirements and its approved proposal, an applicant may use the federal funds awarded to it to eliminate barriers it identifies.

Describe the steps that will be taken to overcome barriers to equitable program participation of students, teachers, and other beneficiaries with special needs.

Illinois State Board of Education
**CERTIFICATIONS AND ASSURANCES FOR THE
AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA)**

(Insert Applicant's Name Here)

The following assurances cover participation by the local educational agency (LEA) identified below in all programs under which funds are made available to such LEA by and through the American Recovery and Reinvestment Act of 2009 (collectively, "ARRA Programs", and each, an "ARRA Program").

The applicant/award recipient (hereinafter the term applicant includes award recipient as the context requires), hereby certifies and assures the Illinois State Board of Education that:

1. Applicant is a(n): *(Check one)*

Individual Corporation Partnership Unincorporated association Government entity

Social Security Account Number, Federal Employer Identification
Number or Region/County/District /School Code, as applicable: _____

2. The applicant has the necessary legal authority to apply for and to receive the proposed award. The filing of this application has been authorized by the governing body of the applicant, and the undersigned representative has been duly authorized to file this application for and in behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application and any award in relation thereto.

DEFINITIONS

"Applicant" means an individual, entity or entities for which grant funds may be available and has made application to the Illinois State Board of Education for an award of such grant funds.

"LEA" means the local education agency.

"ARRA" means the American Recovery and Reinvestment Act of 2009.

"Project" means the activities to be performed for which grant funds are being sought by the applicant.

I hereby certify, on behalf of the LEA identified below, all of the following with respect to the ARRA Programs:

1. The LEA will not use ARRA Program funds for any aquarium, zoo, golf course, or swimming pool;
2. For any project supported with ARRA Program funds, the LEA will comply with Section 1605 of the American Recovery and Reinvestment Act of 2009 (requiring the use of American iron, steel, and manufactured goods) and Section 1606 of the American Recovery and Reinvestment Act of 2009 (requiring compliance with federal prevailing wage requirements); and
3. The LEA will promptly refer to an appropriate inspector general any credible evidence that a principal, employee, agent, contractor, sub-grantee, subcontractor, or other person has submitted a false claim under the False Claims Act (31 U.S.C. § 3729 - 3733) or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving ARRA Program funds.
4. Notwithstanding anything to the contrary in "Attachment 8", the applicant/award recipient must report on a form prescribed by ISBE all expenditure and other data as required by ARRA Title XV – Accountability and Transparency, Section 1512 within seven days of each quarter reporting period.

Name of Applicant

By: _____
Date Signature of Authorized Official Title

Illinois State Board of Education
GEPA 442 Assurances – Federal Funded Grants

(Insert Applicant's Name Here)

The following assurances cover participation by the local educational agency (LEA) identified below in all programs under which Federal funds are made available to such LEA through ISBE, and which require an application under Section 442 of the General Education Provisions Act (GEPA) (20 U.S.C.A. § 1232e) (collectively, "Programs", and each, a "Program").

The applicant/award recipient (hereinafter the term applicant includes award recipient as the context requires), hereby certifies and assures the Illinois State Board of Education that:

1. Applicant is a(n): *(Check one)*

- Individual Corporation Partnership Unincorporated association Government entity

Social Security Account Number, Federal Employer Identification Number or Region/County/District /School Code, as applicable: _____

2. The applicant has the necessary legal authority to apply for and to receive the proposed award. The filing of this application has been authorized by the governing body of the applicant, and the undersigned representative has been duly authorized to file this application for and in behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application and any award in relation thereto.

DEFINITIONS

"Applicant" means an individual, entity or entities for which grant funds may be available and has made application to the Illinois State Board of Education for an award of such grant funds.

"LEA" means the local education agency.

"Project" means the activities to be performed for which grant funds are being sought by the applicant.

I hereby certify, on behalf of the LEA identified below, all of the following with respect to the Programs:

1. The LEA will administer each Program in accordance with all applicable statutes, regulations, program plans, and applications;
2. The control of funds provided to the LEA under each Program and title to property acquired with those funds, will be in a public agency and that a public agency will administer those funds and property;
3. The LEA will use fiscal control and fund accounting procedures that will ensure proper disbursement of, and accounting for, Federal funds paid to that agency under each Program. The LEA's administration and expenditure of Program funds shall be in accordance with all applicable requirements of the Education Department General Administrative Regulations (EDGAR), the cost principles contained in 2 CFR 225 (OMB Circular A-87), OMB Circular A-102, and OMB Circular A-133;
4. The LEA will make reports to ISBE and to the Secretary as may reasonably be necessary to enable ISBE and the Secretary to perform their duties and meet federal reporting requirements, and the LEA will maintain such records, including the records required under Section 1232f of Title 20-Education, and provide access to those records, as ISBE or the Secretary deem necessary to perform their duties;
5. The LEA will provide reasonable opportunities for the participation by teachers, parents, and other interested agencies, organizations, and individuals in the planning for and operation of each Program;
6. Any application, evaluation, periodic program plan or report relating to each Program will be made readily available to parents and other members of the general public;
7. In the case of any Program project involving construction: (A) the project will comply with State requirements for the construction of school facilities; and (B) in developing plans for construction, due consideration will be given to excellence of architecture and design and to compliance with standards prescribed by the Secretary under section 794 of Title 29 in order to ensure that facilities constructed with the use of Federal funds are accessible to and usable by individuals with disabilities;
8. The LEA has adopted effective procedures for acquiring and disseminating to teachers and administrators participating in each Program significant information from educational research, demonstrations, and similar projects, and for adopting, where appropriate, promising educational practices developed through such projects; and
9. None of the funds expended under any applicable Program will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization.

 Name of Applicant

By: _____
 Date Signature of Authorized Official Title