Individuals with Disabilities Education Act (IDEA) and Coordinated Early Intervening Services (CEIS)

April 29, 2014
The IDEA allows school districts to use up to 15 percent of their IDEA Part B flow-through funds to develop and implement early intervening services for students in grades K-12 not identified as needing special education or related services, but who need additional academic and behavioral support to succeed in the general education environment.

(34 CFR 300.226)
The use of IDEA funds for CEIS purposes is voluntary for most districts.
Use of IDEA funds for CEIS purposes is required for districts that are identified with Significant Disproportionality.
Significant Disproportionality

A district **MUST** use 15 percent of its IDEA funds for CEIS expenses if it has been identified as having significant disproportionality based on race and ethnicity with respect to:

1. identification of students as having disabilities;

2. placement of these students in particular education settings; and/or

3. disciplinary actions, including suspension and expulsion.

*(34 CFR 300.646)*
District Notification

Districts that are required to spend 15% of their IDEA funds on CEIS receive written notification from the Special Education Services Division. This generally occurs in September.

The notification letter includes:

• actions required by the district,
• timelines, and
• an outline of the parameters for the use of the 15 percent of Part B flow-through funds.
CEIS in the IDEA Grants

Districts that are required to spend the 15% must select the “Required CEIS” option on the CEIS page and then budget and expend the full 15% on CEIS activities.
The application has been submitted. No more updates will be saved for the application.

Coordinated Early Intervening Services (CEIS)

- Funds will NOT be used for CEIS (Save page and continue to next page)
- Up to 15% of funds will be used VOLUNTARILY for CEIS (complete remainder of page)
- 15% of funds will be used as REQUIRED for CEIS (complete remainder of page)

Funds available for CEIS are based on prior year IDEA flow-through/preschool funds

Funds to be used for CEIS

The grant application no longer includes a CEIS amount for cooperatives. CEIS funds are reflected on each LEA application.

In accordance with the IDEA 2004 regulations at 34 CFR, 300.224, school districts may use up to 15 percent of IDEA Part B flow-through funds to develop and implement early intervening services for students in grades K-12 not identified as needing special education or related services, but who need additional and behavioral support to succeed in the general education environment. Further, in accordance with the requirements at 34 CFR 300.646, those districts identified as having significant disproportionality based on race and ethnicity with respect to: 1) identification of students as having disabilities; 2) placement of these students in particular education settings; and/or 3) disciplinary actions, including suspensions and expulsions, MUST use 15 percent of funds for that purpose.

**NOTE:** The use of CEIS funds for RTI is appropriate only for nondisabled students needing extra support at Tier 2 and/or Tier 3.

**Additional guidance** regarding CEIS is available from the United States Department of Education

Funds will be used to: (check all that apply)
- Provide professional development
- Provide educational and behavioral evaluations, services, and supports, including scientifically based literacy instruction

Briefly summarize the LEA’s CEIS plan.

(0 of 1500 maximum characters used)
CEIS in the IDEA Grants

The Funding and Disbursements Division calculates the CEIS amount for each district in the state and enters that amount in the CEIS page of the IDEA flow-through applications.
CEIS Calculation

The district specific CEIS calculations are based on 15% of the district’s federal allocations for the IDEA flow-through and preschool grants.

Example: Hypothetical District

$750,000 IDEA Flow-through
$35,000 IDEA Preschool
$785,000 Total IDEA Allocation

$.15 \times 785,000 = \$117,750 \text{ CEIS}

Hypothetical District’s maximum allowable amount for CEIS is $117,750.
The Budget Page MUST Match the CEIS Page

The application will be returned for changes if the budget page does not match the CEIS page exactly (in description and amount).

Do not combine CEIS expenditures with other budget items.
Use of Funds

Whether the use of CEIS is voluntary or required, the use of the IDEA funds is limited to specific activities:

- professional development; and

- educational and behavioral evaluations, services, and supports, including scientifically based literacy instruction.

(34 CFR 300.226)
Use of Funds

Staff Development

Training staff and parents on:

- Problem solving process
- Multi-tiered intervention
- Research-based interventions
- Student progress monitoring
- PBIS

Educational/Behavioral Evaluations & Assessments

- May include purchase of progress-monitoring tools
- May include purchase of intervention resources
- May include staff salaries for interventions or related activities

CEIS funds should be used for supports; NOT for direct instruction.
Professional Development

**Allowable:** Intervention related professional development is allowable.

**Not Allowable:** General content professional development such as Common Core training is not allowable.

Professional development should focus on helping students who are struggling academically or behaviorally.
CEIS Cannot Be Used For:

• Preschool

• Proportionate share

• Screening, assessments, or evaluations for ALL students; i.e., not universal screenings.
  – While districts are required to conduct universal screening, CEIS funds cannot be used for that required activity.
Student Population

CEIS cannot include services for:

• students with disabilities (IDEA eligible); or

• Tier I students.
Student Population

CEIS can include supports for:

• general education Tier II students needing additional academic or behavioral assistance; and

• general education Tier III students with intensive needs.
CEIS and Maintenance of Effort (MOE) Flexibility

The federal regulations allow for adjustments to fiscal efforts (MOE flexibility) when a district’s federal IDEA allocation significantly exceeds the previous year’s IDEA allocation.

Any use of IDEA funds for CEIS purposes would count toward the MOE flexibility total.

(34 CFR 300.205)
(Appendix D of Part 300 – MOE and EIS)
APPENDIX D TO PART 300—MAINTENANCE OF EFFORT AND EARLY INTERVENCING SERVICES

LEAs that seek to reduce their local maintenance of effort in accordance with §300.205(d) and use some of their Part B funds for early intervening services under §300.226 must do so with caution because the local maintenance of effort reduction provision and the authority to use Part B funds for early intervening services are interconnected. The decisions that an LEA makes about the amount of funds that it uses for one purpose affect the amount that it may use for the other. Below are examples that illustrate how §§300.205(d) and 300.226(a) affect one another.

Example 1: In this example, the amount that is 15 percent of the LEA's total grant (see §300.226(a)), which is the maximum amount that the LEA may use for early intervening services (EIS), is greater than the amount that may be used for local maintenance of effort (MOE) reduction (50 percent of the increase in the LEA's grant from the prior year's grant) (see §300.205(a)).

<table>
<thead>
<tr>
<th>Prior Year’s Allocation</th>
<th>$900,000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current Year’s Allocation</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Increase</td>
<td>$100,000</td>
</tr>
<tr>
<td>Maximum Available for MOE Reduction</td>
<td>$50,000</td>
</tr>
<tr>
<td>Maximum Available for EIS</td>
<td>$150,000</td>
</tr>
</tbody>
</table>

If the LEA chooses to set aside $150,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $150,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $100,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $100,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $50,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $50,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $30,000 for EIS, it may reduce its MOE by $20,000 (MOE maximum $50,000 less $30,000 for EIS means $20,000 can be used for MOE).
Maximum Available for MOE Reduction | 50,000
Maximum Available for EIS | 150,000

If the LEA chooses to set aside $150,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $150,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $100,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $100,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $50,000 for EIS, it may not reduce its MOE (MOE maximum $50,000 less $50,000 for EIS means $0 can be used for MOE).

If the LEA chooses to set aside $30,000 for EIS, it may reduce its MOE by $20,000 (MOE maximum $50,000 less $30,000 for EIS means $20,000 can be used for MOE).

If the LEA chooses to set aside $0 for EIS, it may reduce its MOE by $50,000 (MOE maximum $50,000 less $0 for EIS means $50,000 can be used for MOE).

Example 2: In this example, the amount that is 15 percent of the LEA’s total grant (see §300.226(a)), which is the maximum amount that the LEA may use for EIS, is less than the amount that may be used for MOE reduction (50 percent of the increase in the LEA’s grant from the prior year’s grant) (see §300.205(a)).

| Prior Year’s Allocation | $1,000,000 |
| Current Year’s Allocation | 2,000,000 |
| Increase | 1,000,000 |
| Maximum Available for MOE Reduction | 500,000 |
| Maximum Available for EIS | 300,000 |

If the LEA chooses to use no funds for MOE, it may set aside $300,000 for EIS (EIS maximum $300,000 less $0 means $300,000 for EIS).

If the LEA chooses to use $100,000 for MOE, it may set aside $200,000 for EIS (EIS maximum $300,000 less $100,000 means $200,000 for EIS).

If the LEA chooses to use $150,000 for MOE, it may set aside $150,000 for EIS (EIS maximum $300,000 less $150,000 means $150,000 for EIS).

If the LEA chooses to use $300,000 for MOE, it may not set aside anything for EIS (EIS maximum $300,000 less $300,000 means $0 for EIS).

If the LEA chooses to use $500,000 for MOE, it may not set aside anything for EIS (EIS maximum $300,000 less $500,000 means $0 for EIS).

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CEIS Federal Reporting Requirement

Any district that budgets for CEIS in the IDEA grant must submit a report to ISBE that specifically states:

• the number of students who received early intervening services; and
• the number of students who received early intervening services and subsequently received special education and related services during the preceding two year period.
IDEA CEIS funds are federal funds. Districts are required to track the use of those funds and report the results annually.

It is critical to identify students served with CEIS funds and document the results. A standardized reporting form is generally mailed to districts in January.
Supplanting and CEIS

IDEA CEIS funds cannot be used to pay for something that was previously paid for with other Elementary and Secondary Education Act funds.

**Not allowable:** If a current after-school tutoring program is funded with Title I funds, funding cannot be changed to IDEA CEIS — that would be supplanting.

**Allowable:** Use CEIS funds to expand an existing program, such as supplementing the existing RtI program to serve more students, expand to other schools, etc.

(34 CFR 300.226(e))
Questions

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