

TIERING 2012

Illinois State Board of Education

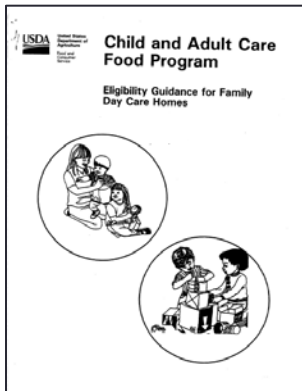
Nutrition Programs

CACFP - Day Care Homes

Brief History Of Tiering

- 1978 CACFP Program established.
 - Originally, all providers received same rate of reimbursement regardless of social economic status.
- Personal Responsibility and Work Opportunity Reconciliation Act of 1996.
 - Targets higher reimbursement rates based on
 - Area Eligibility.
 - Income.
- Sponsoring organizations are responsible for determining eligibility of family day care homes.

“Child and Adult Care Food Program Eligibility Guidance For Family Day Care Homes”



USDA Guidance Document Issued on May 14, 1997
Guidebook Outdated By Recent Regulatory Changes.

- Part I—Classification of Family Day Care Home.
- Part II—Determination of Individual Household Eligibility Based on Income or Categorical Eligibility.
- Part III—Reimbursements for Tier II Homes With Children Eligible for Tier I Reimbursements.

What Meals Qualify For Reimbursement?

- Meals served to children enrolled with the daycare.
- Meals served within the license capacity rules of the qualifying agency;
 - DCFS
 - Refer to licensed capacity levels.
 - Updated information is received by ISBE weekly from DCFS.
 - DHS
 - Limited number of children of un-related homes
 - To include the providers own.
- Meal types listed on the approved provider's site application.
- Two snacks and 1 meal or 2 meals and one snack per child enrolled per day.
- Meals that meet the meal pattern requirements.

Claiming Provider's Own Children

- Providers may receive reimbursement for their own children of the age 12 and under, **if**:
 - The provider qualifies for Tier I status.
 - Outside children are present and participating.
 - Meals follow all CACFP meal requirements (previous slide).
- Provider may receive reimbursement for a foster child residing with the provider, regardless of the provider's tier, **if**:
 - Outside children are present.
 - Only foster child may receive Tier I rates for Tier II provider.
 - Meals follow all CACFP meal requirements (previous slide).

What Is The Difference In Tier I and Tier II ?

- Providers receiving Tier I status are paid the highest rate of reimbursement for children enrolled in their day care with qualifying meals.
- Providers unable to receive Tier I qualify as Tier II status and receive the lowest reimbursement for children enrolled in their day care with qualifying meals.

What Are The Tier I And Tier II Rates?

- New rates are issued during July each year by the USDA, effective July 1 for each type of food service.
- Fiscal Year 2012 rates per meal service, per child are listed below:

	Breakfast	Lunch/Supper	Snack or Supplement
Tier I	\$1.24	\$2.32	\$0.69
Tier II	\$0.45	\$1.40	\$0.19

http://www.isbe.net/nutrition/htmls/daycare_home.htm

Sponsor Tiering Responsibilities

- Determining classification of Provider.
 - Tier I
 - Tier II
- Reimbursement for Tier II homes with children eligible for Tier I reimbursements.
 - Tier II mixed
 - Tier I higher

Sponsor Requirements

- Develop written procedures to explain how the sponsoring organization (SO) accomplishes all tasks for each tiering method for the sponsor's current file.
- Must maintain a computerized or manual tracking system that tracks the expiration date for each provider at the required re-tier time for the individual provider's tiering method.
- Must maintain verification (documentation) in the provider's file to support the provider's tiering method.
- Must notify the provider in writing of their tiering status immediately.

Sponsor Responsibility To The Tier II Provider

- The sponsor should attempt re-tiering of Type II providers when new data (school or census) becomes available to give the provider the benefit of the higher reimbursement.
- At the release of new census data annually, the sponsor must inform Tier II providers they may request re-tiering.

Retention of Tiering Documentation

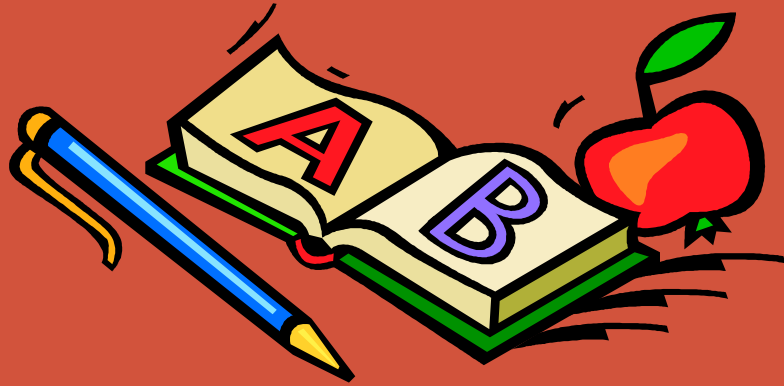
- Tiering information for the provider must be kept for three years after the year the tiering determination is no longer valid.
 - longer if pending an ongoing audit or investigation.

State Requirement for Tiering Errors

- The state agency will establish an over-claim if the sponsoring organization intentionally or negligently misclassified the provider.

Order of Tiering

1. School- Area Eligibility
2. Census- Area Eligibility
3. Income- Household Income Eligibility



TIERING BY SCHOOL

Area Eligibility By School



CACFP regulation 226.2, *area eligibility* is defined as:

1. *A day care home that is located in an area served by a **school** enrolling ~~elementary~~ (*) students in which at least 50 percent of the total number of children enrolled are certified eligible to receive free or reduced-price meals.*

(*)12/22/10, CACFP 05-2011 Expanded to **ANY SCHOOL-**effective 10/1/10.

Qualifications To Use School Data For Tier I Classification.

- Provider is **assigned** a school with:
 - Defined school boundaries.
 - Excludes schools with choice.
 - **ANY** school **assigned** allows classification.
 - All schools do not have to be assigned.
 - 50% or more free and reduced children
 - eligible for Tier I rates for meal reimbursement.
- Once tiered as Tier I by school data, tiering status is valid for 5 years.
- Provider tiered by school as Tier I, **must** be re-tiered every 5 years.
- Tier II providers **may** be re-tiered with the release of data each year.

Provider Qualifications for Claiming Residing Children For School Data Tiering

- Provider must still complete a Household Income Eligibility Application (HIEA), in order to claim reimbursement for their own children or foster children living with the provider.
 - The HIEA does not have to be verified by the sponsor when provider qualifies due to area eligibility.

How Do You Determine The School?

- Options for determining:
 - Mapping programs.
 - CPS(Chicago Public Schools).
 - <http://schoollocator.cps.k12.il.us/>
 - National Center For Educational Statistics (NCES)
 - <http://nces.ed.gov/surveys/sdds/map00.asp>
 - Contact school officials
- Require **confirmation** of assigned school for the provider address.

Required Confirmation Of Assigned School

- Fax or letter to sponsor from school official.
- Documented phone call with school official.

*****NEW**-Phone numbers of schools are provided on the data released by NSLP annually.

School Tiering Documentation Must Include:

- Name and address of provider.
- Name and address of school district.
- Name and address of assigned school in the provider's attendance area used for the tiering classification.
- Date when school was contacted.
- Effective date the provider is tiered.
- Name and title of person who provided the school information.
- Initials of sponsoring organization's staff that contacted school (if contacted by telephone).
- Resulting tier status for that provider.

Where Is The Free And Reduced School Data To Determine The Tier Of The Provider Located?

- NSLP updates the data and posts annually to NSLP website based on the October claim data received from school districts.
 - Required release by February 15 each year.
- Day care home staff will send out an announcement when the new data is available.
- Link at the National School Lunch website:
http://www.isbe.net/nutrition/htmls/eligibility_listings.htm
 - NSLP data includes other school types, **ONLY** public schools can be used to determine tiering.

NEW (CEO)

Community Eligibility Option

- Final column on the National School Lunch Program Data Indicated by Asterisk (*).
- What is CEO?
 - Schools may, through direct certification and third party confirmation, determine eligible free students and compute them as a percentage of the total school's October claim. This special confirmation eliminates the use of the eligibility applications for calculating the percentage. Also requires validation every four years instead of annually.
- Example: Springfield Lindsay School

FY 11 NSLP Data

- Lindsay School site#44285 Free and Reduced 45.44%.
(not eligible for Tier I)

FY11_eligibility.xls [Read-Only] [Compatibility Mode] - Microsoft Excel

	F	G	H	I	J	K	L	M	N	O	P	Q
	Site Number	Site Name	Site Address	Site City	Site Zipcode	Site County	Site Enrollment	Site Free Eligible	Site Reduced Eligible	Site %		
4022	14728	Springfield Southea	2350 E Ash	Springfiel	62703-337	Sangamor	1197	656	103	63.41%		
4023	14761	Lawrence Ed Center	101 E Laur	Springfiel	62704-394	Sangamor	89	46	7	59.55%		
4024	14729	Benjamin Franklin M	1200 Oute	Springfiel	62704-440	Sangamor	755	374	50	56.16%		
4025	15123	Springfield Ball Char	2530 E Ash	Springfiel	62703-560	Sangamor	445	158	62	49.44%		
4026	14748	Sandburg Elem Scho	2051 Wabi	Springfiel	62704-530	Sangamor	286	117	19	47.55%		
4027	44285	Lindsay School	3600 Field	Springfiel	62711-628	Sangamor	548	226	23	45.44%		
4028	14743	Owen Marsh Elem S	1100 Avon	Springfiel	62704-213	Sangamor	302	122	9	43.38%		
4029	14727	Springfield High Sch	101 S Lewi	Springfiel	62704-181	Sangamor	1504	466	72	35.77%		
4030	14742	Lincoln Magnet Schc	300 S 11th	Springfiel	62703-120	Sangamor	321	88	18	33.02%		
4031	14740	Iles Elem School	1700 S 15t	Springfiel	62703-296	Sangamor	401	87	25	27.93%		
4032	14762	Blessed Sacrament F	233 E Gree	Morton	61550-255	Tazewell	238	4	8	5.04%		
4033	14763	St Patrick Catholic C	100 Have	Washingt	61571-285	Tazewell	243	11	2	5.35%		

Not available for Free and Reduced <50%

Ready | Average: 9016.49088 | Count: 15 | Sum: 45082.4544 | 100%

FY 12 NSLP Data

- Lindsay School site#44285 Free and Reduced 93.42% (eligible for Tier I)

FY12_eligibility.xls [Read-Only] [Compatibility Mode] - Microsoft Excel

	G	H	I	J	K	L	M	N	O	P	Q	R	S	T
1	Sponsor Tax Exempt	Site #	Site	Site Address	Site City	Site Zipcode	Site County	Site Type	Site Enrollment	Site Free Eligible	Site Reduced Eligible	Site % Eligible	Site participates in Community Eligibility Option (CEO)	
4028	Public	14751	Wilcox Elem School	2000 Hastings Rd	Springfiel	62702-2031	Sangamor	School	295	276	0	93.56%	*	
4029	Public	14736	Enos Elem School	524 W Elliott Ave	Springfiel	62702-3715	Sangamor	School	297	277	0	93.27%	*	
4030	Public	14743	Owen Marsh Elem Sc	1100 Avon Dr	Springfiel	62704-2133	Sangamor	School	306	286	0	93.46%	*	
4031	Public	14750	Wanless Elem Schoo	2120 E Reservoir St	Springfiel	62702-4227	Sangamor	School	316	295	0	93.35%	*	
4032	Public	14732	Jane Addams Elem S	10 Babiak Ln	Springfiel	62702-3517	Sangamor	School	336	314	0	93.45%	*	
4033	Public	14733	Butler Elem School	1701 S MacArthur Blvd	Springfiel	62704-4027	Sangamor	School	338	316	0	93.49%	*	
4034	Public	14747	Ridgely Elem School	2040 N 8th St	Springfiel	62702-1718	Sangamor	School	364	340	0	93.41%	*	
4035	Public	14754	Harvard Park School	2501 S 11th St	Springfiel	62703-3903	Sangamor	School	421	393	0	93.35%	*	
4036	Public	14727	Springfield High Sch	101 S Lewis St	Springfiel	62704-1817	Sangamor	School	1433	420	68	34.05%		
4037	Public	14735	Dubois Elem School	120 S Lincoln Ave	Springfiel	62704-1601	Sangamor	School	479	447	0	93.32%	*	
4038	Public	14729	Benjamin Franklin M	1200 Outer Park Dr	Springfiel	62704-4409	Sangamor	School	690	458	0	66.38%	*	
4039	Public	44285	Lindsay School	3600 Fielding Dr	Springfiel	62711-6288	Sangamor	School	532	497	0	93.42%		
4040	Public	14752	Jefferson Middle Sch	3001 S Allis St	Springfiel	62703-4208	Sangamor	School	542	506	0	93.36%	*	
4041	Public	14730	U S Grant Middle Sch	1800 W Monroe St	Springfiel	62704-1529	Sangamor	School	581	543	0	93.46%	*	
4042	Public	14731	Washington Middle	2300 E Jackson St	Springfiel	62703-1545	Sangamor	School	635	593	0	93.39%	*	
4043	Public	14728	Springfield Southeas	2350 E Ash St	Springfiel	62703-3371	Sangamor	School	1221	811	0	66.42%	*	
4044	Public	14726	Lanphier High Schoo	1300 N 11th St	Springfiel	62702-4011	Sangamor	School	1101	1028	0	93.37%	*	
4045	Private Nc	14762	Blessed Sacrament R	233 E Greenwood St	Morton	61550-2553	Tazewell	School	244	7	5	4.92%		

Ready | 1-4-12 FINAL | Average: 36-254-9727 | Count: 19 | Sum: 217-529-8363

CEO designation

Available for Free and Reduced <50%

CEO Community Eligibility Option

- New method introduced in FY11.
- FY12 data is the first year for reflecting result.
- NSLP expects to see more and more communities using in years to come!



Re-tiering With School Data

- Tiering is valid for 5 years.
- Must re-tier at 5 years.
- May re-tier provider annually, if provider is Tier II and assigned school data changes to allow more than 50% free and reduced.
 - CEO participation by school districts
- A Tier I provider tiered by Household Income Eligibility Application could be re-tiered if school data changes to allow Tier I status by school.
 - Allows a longer period of time before required re-tiering.



TIERING BY CENSUS

Area Eligibility By Census



CACFP regulation 226.2, *area eligibility* is defined as:

2. *A day care home that is located in a geographic area, as defined by Food and Consumer Services (FCS) based on **census data** in which at least 50 percent of the children residing in the area are members of household which meet the income standards for free or reduced-price meals.*

When Can Tiering By Census Be Used?

- When the provider is located in a rural area, where geographically large ~~elementary~~ (*) school attendance areas occasionally obscure localized pockets of poverty which can be identified through use of census data.
- (*)12/22/10, CACFP 05-2011 Expanded to **ANY SCHOOL**
- effective 10/1/10.

Census 2012 CACFP Memorandums

- CACFP 02-2012 Eligibility Based on Census Data.
 - Introduced American Community Survey (ACS).
 - Extended eligibility of free and reduced to age of 18 consistent with Summer Food Program (SFSP).
 - If qualified for CACFP **OR** SFSP, qualified for both.
 - Listed on the data as Yes(qualified) or No(not qualified).
 - Shortened the period for required re-tiering to 5 years.
 - (consistent with school required re-tiering period).

Census 2012 CACFP Memorandums

- CACFP 07-2012 -Eligibility Based on Census Data: 2012 Data Release.
 - Effective Immediately.
 - Released New ACS 2012 Census data.
 - 2000 Census data is obsolete.
 - Requires Tiering by Sponsors of **ALL** Tier I providers tiered with 2000 Census data prior to September 30, 2012.
 - Allows annual retiering of all Tier II providers.
 - Sponsor requirement to inform providers of right to request.
 - Provides instructions for obtaining required 2010 GEOID number to be used with the data.

New ACS Census Data

- Each year 17% is surveyed.
- New data is released each year by the USDA.
 - ISBE will publish Illinois only data on website.
 - Date of annual data release by the USDA is not presently known.
 - http://www.isbe.net/nutrition/htmls/daycare_home.htm
- Uses New Census blocks from 2010 Census.
 - 2000 Census blocks are obsolete.

Qualifications For Tiering By Census

- Provider must live in a GEOID that is designated by the annual Census data to be $\geq 50\%$ free and reduced for children up to the age of 18 years.
 - 0-12 Years of age (CACFP)
 - Up to 18 Years of age (**NEW-SFSP**)
 - Indicated as yes in column (d) of the Illinois census data.
- GEOID must be obtained using the 2010 Census Block groups and using the last published free and reduced Census data for the provider's address.

What Are The Steps To Census Tiering?

- Step 1-Determine GEOID.
 - Method 1- Map.
 - Method 2- American Fact Finder.
- Step 2-Find GEOID on USDA provided census data.
 - To be published annually.
 - ISBE will notify sponsors when released and publish Illinois only data on website.
 - Currently no fixed date for the release of the data.

http://www.isbe.net/nutrition/htmls/daycare_home.htm

What Makes Up The GEOID code?

- State Code (Illinois=17)
- County Block (3 digits)
- Census Tract (6 digits)
- Block Group (1 digit)
- GEOID is all 12 digits
- State Code, County Code, Census Tract, Block Group

Method 1

Determine GEOID Example 1

- By Map
<http://tigerweb.geo.census.gov/tigerweb/default.htm>
- Find Address: 100 North First Street, Springfield IL

The screenshot shows the TIGERweb (beta) website in a Windows Internet Explorer browser. The browser's address bar displays the URL <http://tigerweb.geo.census.gov/tigerweb/default.htm>. The website header includes the U.S. Census Bureau logo and navigation links for People, Business, Geography, Newsroom, Subjects A to Z, and Search@Census. The main content area features a map of the United States with a 'Map Content' dialog box open. The dialog box lists various map layers, with 'Labels' and 'Transportation (Roads and Railroads)' checked. A callout box points to the 'Transportation (Roads and Railroads)' checkbox with the text 'Step 1 Check Transportation Box'. Another callout box points to the close button (an 'X' icon) in the top right corner of the dialog box with the text 'Step 2 Close Box'. The browser's taskbar at the bottom shows the Start button and several application icons, including Internet Explorer, PowerPoint, Word, and Excel. The system tray in the bottom right corner shows the time as 2:51 PM on 4/5/2012.

Method 1

Determine GEOID Example 1 (Continued)

The screenshot shows the TIGERweb (beta) interface in a Windows Internet Explorer browser. The browser address bar shows the URL <http://tigerweb.geo.census.gov/tigerweb/default.htm>. The page header includes the U.S. Census Bureau logo and the text "TIGERweb (beta) Geography Division". The main content area is a map of Chicago with a grid of streets. A "Query" dialog box is open in the center of the map, with the following options:

- Select Map: Census Tracts and Blocks
- Select Layer: Census Tracts, Census Block Groups, Census Blocks

Below the layer selection are several icons, including a binoculars icon. A callout bubble points to this binoculars icon. In the top right corner of the map interface, there are three icons: a hand (Pan), a binoculars icon, and a question mark (Help). A second callout bubble points to the binoculars icon in this top-right corner. A scale bar in the bottom left of the map shows a scale of 1:9,027, with markers for 200m and 900ft. The Windows taskbar is visible at the bottom of the screen.

Step 3
Click on the Binoculars

Step 4
Click on these Binoculars and high-light Census Track

Method 1

Determine GEOID Example 1 (Continued)

The screenshot shows the TIGERweb (beta) interface in a Windows Internet Explorer browser. The browser's address bar displays <http://tigerweb.geo.census.gov/tigerweb/default.htm>. The page header includes the U.S. Census Bureau logo and navigation links for Geography, Newsroom, Subjects A to Z, and Search@Census. The main content area features a map of Illinois with a 'Query' window open. The 'Query' window has a 'Select Map' dropdown set to 'Census Tracts and Blocks' and a 'Select Layer' dropdown with 'Census Tracts' selected. Below the dropdowns are several icons, with the first icon (a blue dot) highlighted by a red circle. A red line points from this icon to a callout box. The callout box contains the text 'Step 5 High-light Blue Dot'. Another callout box, containing the text 'Step 6 Click On Address', points to a specific address on the map. The map includes a scale bar (1:9,027) and a 200m/900ft scale. The bottom of the page features a footer with links for Privacy Policy, 2010 Census, Data Tools, Information Quality, Product Catalog, Contact Us, and Home.

U.S. Census Bureau
TIGERweb (beta)
Geography Division

Geography | Newsroom | Subjects A to Z | Search@Census

Step 5
High-light Blue Dot

Step 6
Click On Address

ILLINOIS

1:9,027
200m
900ft

Privacy Policy | 2010 Census | Data Tools | Information Quality | Product Catalog | Contact Us | Home

Method 1

Determine GEOID Example 1 (Continued)

- Find Address: 100 North First Street, Springfield IL

Step 7 Result

Printable Documentation of GEOID Code

Displays GEOID

2010 Census

People | Business | Geography | Newsroom | Subjects A to Z | Search@Census

Query

▼ Census Block Groups	NAME: 2
2	GEOID: 171670013002

Privacy Policy | 2010 Census | Data Tools | Information Quality

Method 2

Determine GEOID Example 2

- American Fact Finder
 - Step 1 Determine State Code Illinois = 17
 - Step 2 Determine County
 - County is located on Illinois Census data so this step may be unnecessary. May be able to search within county data.
 - Step 3 Determine Census Tract and Block

Determine County Code Example 2 (Continued)

- <http://www.census.gov/geo/www/ansi/countylookup.html>

INCITS 31:2009 County Lookup - Windows Internet Explorer

U.S. Census Bureau

People | Business | Geography | Newsroom | Subjects A to Z | Search@Census

ANSI Lookup | [Change Notes](#) | [Main ANSI Page](#)

INCITS 31:2009 County Lookup

Search by state

Select a state from this list or the map to the right.

Illinois

Search by county name

County name

Sangamon Illinois

Search by 5-digit State-County ANSI Code

ANSI Code

Enter County and State as indicated

[Download a national ANSI file](#)

Determine County Code Example 2 (Continued)

- Result: County Code = 167

The screenshot shows the U.S. Census Bureau INCITS 31:200x County Lookup page. The browser address bar shows the URL: <http://www.census.gov/cgi-bin/geo/ansi/lookup>. The page title is "INCITS 31:200x County Lookup".

Results for 'Sangamon' in Illinois

State ANSI	State Name	County ANSI	County Name	
17	Illinois	167	Sangamon County	H1

Search again

Select a state

Alabama

Search by county name

County name

Any state

Search by 5-digit State-County ANSI Code

ANSI Code

Callout 1: State Code = 17

Callout 2: County Code = 167

Determine Census Tract and Block Group Example 2 (Continued)

- Address: 100 N. First Street, Springfield IL
- <http://factfinder2.census.gov/main.html>

The screenshot shows the American FactFinder search interface in a Windows Internet Explorer browser window. The browser address bar shows the URL: <http://factfinder2.census.gov/faces/nav/jsf/pages/searchresults.xhtml?ref=>. The page header includes the U.S. Census Bureau logo and the American FactFinder logo. The main navigation bar contains links for "WHAT WE PROVIDE", "USING FACTFINDER", "Feedback", "FAQs", "Glossary", and "Help". Below the navigation bar, there is a search results section with a "Search Results:" header and a "Search for:" input field. A "GO" button is next to the input field. A callout box points to the "Geographies" link in the left sidebar, with the text "Click on Geographies". Another callout box points to the "Search for:" input field, with the text "Enter full address". The "Search for:" input field is currently empty. Below the search results section, there is a "Select Geographies" dialog box with tabs for "Name", "Address", and "Map". The "Address" tab is selected, and it contains a form with fields for "street address", "city", "state", and "zip". The "street address" field contains "100 N First Street", the "city" field contains "Springfield", and the "state" dropdown menu is set to "Illinois". A "GO" button is next to the "zip" field. A callout box points to the "GO" button in the "Select Geographies" dialog box, with the text "Enter full address". Below the dialog box, there is a yellow banner with a blue circle containing the number "3" and the text "Select one or more Search Results and click View".

Determine Census Tract Example 2 (Continued)

- Only use Census Tract and Block Group

Search Results:
Geographies containing '100 N 1ST ST, SPRINGFIELD, IL, 62702':
Select geographies to add to Your Selections

Geography Results: 1-16 of 16

Geography Name	Geography Type
ZCTA5 62701	5-Digit ZCTA
Block 2007, Block Group 2, Census Tract 13, Sangamon County, Illinois	Block
Block Group 2, Census Tract 13, Sangamon County, Illinois	Block Group within Census Tract
Census Tract 13, Sangamon County, Illinois	Census Tract
Congressional District 18 (111th Congress), Illinois	Congressional District
Sangamon County, Illinois	County
Capital township, Sangamon County, Illinois	County Subdivision
Springfield, IL Metro Area	Metro Statistical Area/Micro Statistical Area
Springfield city, Illinois	Place within State
Remainder of Illinois, Illinois	School District (Elementary)/Remainder
Remainder of Illinois, Illinois	School District (Secondary)/Remainder
School District 186, Illinois	School District (Unified)/Remainder
Illinois	State
State Legislative District 99 (2010), Illinois	State Legislative District (Lower)
State Legislative District 50 (2010), Illinois	State Legislative District (Upper)
CITY 32 Voting District, Sangamon	Voting District/Remainder

Printable Documentation of GEOID Code

Census Tract 13

Block Group 2

Privacy Policy | 2010 Census | Data Tools | Information Quality | Product Catalog | Contact Us | Home

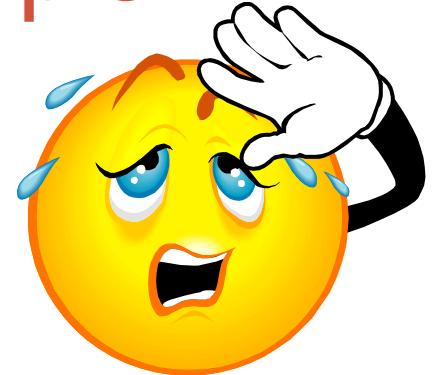
Determine GEOID For Example 2

Address: 100 N. First Street, Springfield IL

- State Code (always 17 in Illinois) 17
- County Block (3 digits) 167
- Census Tract (6 digits) 001300

Must create from presented number always assume 2 decimal points (but don't include the decimal point)

- Block (1 digit) 2
- Final Result **17 167 001300 2** or **171670013002**



OR FAIRDATA



- FairData has been updated for the 2010 Census blocks and the 2012 Census data.
- ISBE received confirmation from the USDA that sponsors may use the FairData site to determine Census information.

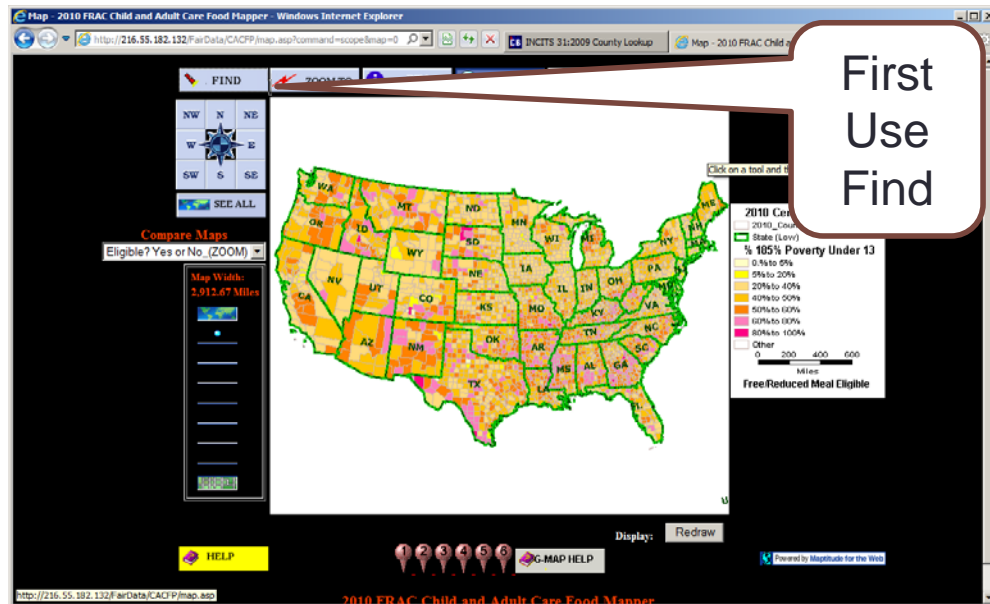
Using FairData To Determine GEOID

- <http://www.fairdata2000.com/CACFP/>

Scroll down

The screenshot shows two overlapping browser windows. The top window displays the 'CACFP Expansion Maps' page, which includes a navigation menu on the left with links for Resources, Census Issues, CACFP, ESL, Geobase, Socio-Econ, Projects, Racial Profiling, Summer Food, Voter Registration, PL 94 Data, SF 1 Data, Contact/Uploads, Downloads, and More Resources. The main content area features the title '2010 FRAC Child and Adult Care Food Program Mapper' and a description of the interactive map. A 'See also:' section lists 'Summer Food Target Mapper' and 'Interactive "Zoomable/Clickable" Thematic Map'. A 'HELP' icon is visible, with a red text box explaining its use: 'To maximize the viewable area, toggle off the history folder, then select the F... map links can be e-mailed or bookmarked for future reference. Use the arrow buttons on your browser to compare previously viewed maps. Click the HELP icon for additional assistance.' The bottom window shows a 'See also:' section with links to 'Child and Adult Care Food Program Mapper' and 'Google-FairData Base Map'. Below this is a 'Zoom To:' section with links for 'Puerto Rico', 'Hawaii', and 'Alaska', followed by three asterisks. A note at the bottom states: 'Note: This legacy web mapping application performs best with Internet Explorer 6 or higher.' Further down, there are instructions on how to use the 'FIND' and 'INFO' tools to search for and view census data for specific geographic areas.

Using FairData (Continued)



First Use Find

Then Enter full address

The screenshot shows a web browser window titled "Search - 2010 FRAC Child and Adult Care Food Mapper". The main content is a search form with the following fields and options:

- Find 2010_Block_Groups Near:**
- Must include street number to find street address. Omit address to zoom map to a place or ZIP code. Include the words COUNTY, PARISH, or MUNICIPIO where applicable. Enter state abbreviation only to see map of entire state.**
- Street Address:**
- Place:** **State:**
- ZIP Code:**
- GoogleFind (opens map form)**

Below the search form, there is another section:

- Or Find All 2010_Block_Groups Matching by FIPS code (100 record limit):**
- Search Field Name:**
-

At the bottom right, there is a "100%" zoom level indicator.

Result With FairData (Continued)

Map - 2010 FRAC Child and Adult Care Food Mapper - Windows Internet Explorer

http://216.55.182.132/FairData/CACFP/map.asp?command=find&vis=2%7C

INCITS 31:2009 County Lookup

Map - 2010 FRAC Child and ...

2010 Census Map Layers

- 2010_Block_Groups
- 2010_Streets
- Water Area
- 2010_Block_Groups
- Census Place
- Community Sites
- County

Eligible? (Yes or No)

- No
- Yes

Free/Reduced Meal Eligible

0 1 2 3 Miles

Map Width: 1.10 Miles

Eligible? Yes or No (ZOOM)

Display: Interstate Highway School Districts Community Sites Highway Census Place 2010_Census Tract 2010_ZIP Code Reservations

HELP

G-MAP HELP

Powered by Mapbox for the Web

2010_Block_Groups features near 'zoomed to' point -- 100 N First Street, Springfield, il -- identified by a star on the map:

[Map Selected Features](#)

BlockGroup	County Name	Eligible? (Yes or No)	SFSP: Percent 0-18 year olds eligible	CACFP: Percent 0-12 year olds eligible	Population	Age <5	Under 18	Age 65+	Not Hispanic White	Hispanic Origin	Black	American Indian	Asian	People in group quarters
171670013002	Sangamon County	Yes	76.700	80.500	796	53	144	49	487	40	192	2	42	0

Scroll Down

Printable Documentation of GEOID Code and Eligibility

Documentation Of Census Tier

- Note: It is the responsibility of the sponsor to assure the provider is tiered correctly. The sponsor may always use other methods to confirm the tier result prior to relying on the original result.
- To document the tiering result using the census, a printout of the address of the provider and GEOID that can be easily traced to the Illinois data for the year tiered, or in the case of FairData the block of information showing the address of the provider, GEOID, and free and reduced percentage, are considered adequate documentation.

Provider Qualifications for Claiming Residing Children For Census Tiering

- Provider must still complete a Household Income Eligibility Application (HIEA), in order to claim reimbursement for their own children or foster children living with the provider.
 - The HIEA does not have to be verified by the sponsor when provider qualifies due to area eligibility.

Re-tiering By Census Data

- Tier is valid for 5 years.
- Must re-tier at 5 years.
- Must inform Tier II provider that they may request re-tiering with the release of data annually.
- Sponsor may re-tier provider annually, if provider is Tier II and census data changes to allow qualification as Tier I.
- A Tier I provider tiered by Household Income Eligibility Application may be re-tiered if census data changes to allow Tier I status by census.
 - allowing longer period of time before required re-tiering.



TIERING BY INCOME

Household Income Eligibility Application (HIEA)

Household Income Eligibility Application (HIEA)



CACFP Regulation 226.2

- 3. *A day care home that is operated by a provider whose household **meets the income standards for free or reduced price meals**, as determined by the sponsoring organization based on a completed free and reduced price application, and whose income is verified by the sponsoring organization of the home in accordance with 226.23(h)(6).*

Tiering By Income

- If a provider cannot receive Tier I status due to area eligibility, the provider may complete a Household Income Eligibility Application (HIEA).
- The sponsor must check the HIEA for math errors and verify that the household income and family size are within the current income guidelines.
- Provider may be eligible if receiving benefits from
 - Temporary Assistance for Needy Families (TANF)
 - Supplemental Nutrition Assistance (SNAP)
 - Food Distribution Program on Indian Reservations (FDPIR)
 - Migrant Workers
 - Sponsor must verify benefits

HIEA Translated Forms Available

- The translated CACFP Meal Benefit Income Eligibility Form package to include:
 - CACFP Meal Benefit Income Eligibility Form.
 - Letter to Tier I Family Day Care providers.
- Translated into 33 different languages
- http://www.fns.usda.gov/cnd/Care/Benefit_forms/Translations.htm
- Intended to assist in communication barriers when sponsor created documents are not available.
- ISBE will continue to publish the packet for Illinois Sponsors in English.



How Is The HIEA Measured For Tier I Rates

- The USDA issues income guidelines annually for July 1 to June 30.
 - HIEA income and number of members are matched to the income tables for eligibility.
- A Household Income Eligibility Application (HIEA) is valid for a 12-month period.
- The USDA data is usually available in mid-April.
- ISBE provides HIEA packets annually in May for use after July 1.

Completed HIEA Submitted By Provider

- Names of all individuals in the household for whom application is made.
- Names of all other household members.
- All income received in the prior month by each member of the household and the source of the income.

Listing Of Foster Children In Household

- CACFP 08-2011 (refer to prior year reauthorization presentation for more information)
- Effective 10/1/2010, foster children are categorically eligible for Tier I meals without application and **may** be included on the foster family statement.
 - Foster child status must be documented
 - Definition of foster child is a child whose care and placement is the responsibility of the State of Illinois or its agents.
- If a document does not exist to certify the child is a foster child, have agency complete the form (50-73) .
- [Homeless, Runaway, Migrant, Head Start, and Foster Child Certification Form](#) (50-73)
- http://www.isbe.net/nutrition/pdf/50-73_hmls_cert_mm.pdf

What Are The Consequences Of Listing A Foster Children On The HIEA

- Including the foster child would increase the family size for the income level for eligibility status.
- Income of the foster child must be disclosed with other income for the household.
- Foster child Tier I status does not transfer to other members of the household.
 - **Status of TANF and SNAP transfers to the other members in the household.**

Definition Of Income

- *“Income”, as the term is used in this notice does not include any income or benefits under any Federal programs that are excluded from consideration as income by any statutory prohibition. Furthermore, the value of meals or milk to children shall not be considered as income to their households for other benefit programs in accordance with the prohibitions in section 12(e) of the Richard B. Russell National School Lunch Act and Section 11(b) of the Child Nutrition Act of 1966 (42 U.S.C. 1760(e) and 1780(b)).*

Definition Of Income - Continued

Federal Register Vol. 76 No. 58, March 25, 2011

- *“income” means income before deductions such as income tax, Social Security taxes, insurance premiums, charitable contributions and bonds. It includes the following:*
 1. *Monetary compensation for services, including wages, salary, commissions or fees.*
 2. *Net income from nonfarm self-employment.*
 3. *Net income from farm self-employment.*
 4. *Social Security.*
 5. *Dividends or interest on savings or bonds or income from estates and trusts.*
 6. *Net rental income.*
 7. *Public assistance or welfare payments.*

Definition Of Income- Continued

8. *Unemployment compensation.*
9. *Government civilian employee or military retirement or pensions or veterans payments.*
10. *Private pensions or annuities.*
11. *Alimony or child support payments.*
12. *Regular contributions from persons not living in the household.*
13. *Net royalties.*
14. *Other cash income.*
 - *Cash amounts received or withdrawn from any source including savings, investments, trust accounts, and other resources that would be available to pay the price of a child's meal.*

Verification Of HIEA By Sponsor

- Written Evidence.
- Collateral Contracts.
- Agency Records.

Examples Of Written Evidence

- Pay stubs
- Tax forms (self-employed earnings)
 - Adjusted for CACFP (different than IRS income).
 - If first year income for providers day care operation, the provider may use the 1040 Schedule C as a guide to determine a monthly net income.
- Award letters
 - Must have an expiration date for award.
 - Cards only if expiration date is present.

Collateral Contracts

- Written evidence is not available from provider.
- Outside party to verify.
 - Employer.
 - Migrant Worker Agencies.
 - Religious or Civic Organizations.
- Unqualified Outside Parties.
 - Economic interest in benefit of Tier I rates.
 - Family Members.
 - Neighbor.
 - Household member of child in provider's care.

Agency Records

- Sponsoring organization may request an eligibility statement from other agencies to establish categorically eligibility of providers.
 - Other Federal Assistance programs.
 - Other Federally Assisted State programs.
 - Direct Certification is not currently allowable in the CACFP.

Required Documentation For HIEA

- The Sponsoring Organization must keep a record of the source of information used to verify the provider's household to include or categorical eligibility.
 - All documents submitted by the household.
 - Photocopies of the documents.
 - If above are unavailable or illegible, a written record to include:
 - the type of document.
 - income shown on document.
 - time period of the income.
 - date of document.
 - If verified by other agencies or collateral, verification must be documented and retained.
- Documentation to be kept on file until 3 years after end of the year of the tier period or longer if ongoing audit or investigation.

Basis For Self-Employment Income

- Provider should base the net income from his/her daycare home operation as calculated on the form 1040 schedule C (annualized).
 - divide result by 12 to arrive at a monthly amount.
 - Include prior year 1040 if daycare was in existence in prior year.
- Food Program reimbursement is not included in this calculation, but meal costs must be reduced by reimbursement prior to including the cost in the net income calculation.
- Zero Base Income cannot be less than zero.
- New providers with no income for prior months may use zero income for their daycare business net income calculation.

New Requirements

CACFP Memorandum 06-2012

- Once HIEA is validated by the sponsor, the Tier 1 result is good for 1 year.
- HIEA must be evaluated **annually** for each HIEA Tier I provider.
- Eliminates:
 - Temporary approvals.
 - Changes in household income during the year.

Re-Tiering Requirements for HIEA

- Re-tiering of Providers with changing income due to temporary income or assistance changes during the years even when known **does not** require re-tiering.
- Re-tiering is required annually by the end of the month following the anniversary date of the prior tiering of the provider.
- Provider may be re-tiered by School data or Census, if provider qualifies when new data is released.
 - If provider is re-tiered as a Tier I by school data or census, extends re-tiering anniversary to 5 years.
- If a provider's household income changes, the provider may request a re-tiering by HIEA.

Special Note About Re-tiering Before Anniversary Date

- Re-tiering earlier than anniversary of the HIEA MUST benefit the providers tiering status. Therefore annual re-tiering for HIEA must occur within a reasonable time prior to the anniversary date.

Re-Tiering: Example 1

- 10/1/12, Provider is tiered I by HIEA due to zero income and 4 household members.
- 2/28/13, Provider qualifies for school data as a Tier I provider.
 - Provider may be re-tiered as Tier I for 5 years. Expiration of tier is 2/28/18.

Re-Tiering: Example 2

- 10/1/12, Provider is tiered I by HIEA due to zero income and 4 household members.
- 2/28/13, Provider qualifies for census as a Tier I provider.
 - Provider may be re-tiered as Tier I for 5 years.
 - Anniversary of re-tiering becomes 2/28/18.
 - Note* Date of annual data release by the USDA is not presently known. Provider's tier date will be when the provider is re-tiered.

Re-Tiering: Example 3

- 10/1/12, Provider is tiered I by HIEA due to zero income and 4 household members.
- 8/1/13, Sponsor performs annual re-tiering of all HIEA Tier I Providers.
 - The Tier I provider expiring 10/31 may not be re-tiered until closer to the anniversary date.

Re-Tiering: Example 4

- 8/1/12, Provider tiered II due to no options for Tier I.
- 10/1/12, a Tier II provider has a change in income. Upon completing the HIEA, the provider now qualifies for Tier I rates. Provider can be re-tiered effective 10/1/12 as Tier I.

TIER II OPTIONS

Tier II With Higher Reimbursement

- Although a provider does not qualify for the higher rate of reimbursement (Tier I), the children that come to the providers daycare may. This will still give the provider the benefit of higher reimbursement for the children they are caring for qualifying for Tier I status.
- Provider must **choose** to take income eligibility statements for children in their care.
- A Tier II provider is never able to claim his/her own children for reimbursement.
- A foster child living with the provider is always categorically eligible for Tier I status if the provider submits a HIEA.

Qualification For Enrolled Children For Tier II Provider

- To qualify for the higher tier for the children in her care, (not provider's own) the provider must have documentation (HIEA) to validate the child's status.
 - Documentation of SNAP or TANF or Food Distribution Program on Indian Reservation (FDPIR), Migrant workers .
 - HIEA completed by parent/guardian of the child's household.
 - **New** CACFP 17-2011, HIEA information **may** be returned to the sponsor by the provider.
 - A child that is documented as a foster child is categorically eligible.
 - If foster child resides with the provider, meals can only be claimed **if** there are outside children present and a HIEA has been submitted by the provider.
- The completed HIEA does not have to be verified for children enrolled in a Tier II provider day care.

Requirements For Collection Of HIEA By Tier II Providers

- The Tier II provider must disclose:
 - The household is not required to complete the household income eligibility application in order for their children to participate in the CACFP.
 - Households have the option if they choose to complete the household income eligibility application and submit by either:
 - Returning the form directly to the sponsor at the address indicated on the form.
 - Returning the form to the provider with written consent allowing the provider to collect the form and transmit it to the sponsor on the household's behalf.

Tier II Claim Reporting

- In order to complete the summary claim for submission to ISBE Funding and Disbursements, the Tier II classifications must be completed separately on the ISBE claim form submitted by the sponsor.
 - Required compliance of FNS 44.

Tier II Classifications

- **Tier II High** Providers who are unable to receive Tier I rates for their household, but all the children in the provider's care qualify for Tier I reimbursement.
- **Tier II Mixed** Providers who are unable to receive Tier I rates for their household but some (not all) children in the provider's care qualify for Tier I reimbursement.
- **Tier II Low** Providers who are unable to receive Tier I rates for their household and no children in the provider's care qualify for Tier I reimbursement.
 - **Or** provider does not choose to take income eligibility statements for children in their care.

New Regulations Affecting Tiering

- CACFP 25-2011 Translation of HIEA
- CACFP 02-2012 Eligibility Based on Census Tiering
- CACFP 06-2012 Guidance on Income Eligibility and Determination
- CACFP 07-2012 Release of 2010 Census data

Summary

- New Regulatory communications have updated previous tiering requirements affecting all areas of the tiering process.
- It is the responsibility of the sponsor to assist the provider in obtaining the highest reimbursement available.
- The tiering process has changed to allow the tiering to become more available to the providers as the area changes.
- The process of tiering by the sponsors has been changed to annually (only) for the HIEA provider.

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QUESTIONS?

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